

KUVEMPU UNIVERSITY

Govt. of Karnataka, State Public University

Jnanasahyadri Campus, Shankaraghatta-577451, Shivamogga District, Karnataka

Supporting Documents pertaining to the following Metric:

3.4.1: The institution ensures implementation of its stated Code of Ethics for research the institution has a stated Code of Ethics for research and the implementation of which is ensured through the following:

- Inclusion of research ethics in the research methodology course work.
- Presence of institutional Ethics committees (Animal, chemical, bio-ethics etc.,)
- Plagiarism check through software
- Research Advisory Committee.



Jnanasahyadri Campus, Shankaraghatta
Shivamogga-577 451, Karnataka

RESEARCH ETHICS POLICY



2024

DISCLAIMER

To whomsoever it may concern, it is hereby declared that some part of the content herein may reflect the essence of policy from other institutes/universities and it is being duly acknowledged. In some cases the same content is copied to maintain the essence of the policy and also to convey the correct meaning or interpretation of the aspects being dealt. Since the terms related to the Research Ethics among the institutes/universities are very much common in nature and hence the language of the content remains same. Therefore, the essence and framework that has been copied or captured is in no intention to intentionally copy or infringe the Copy right.

Registrar



RESEARCH ETHICS POLICY

Research ethics encompasses a set of guidelines that dictate how research involving human participants, human samples, or data related to individuals is planned, carried out, and supervised. When developing a research project, it is essential to prioritize and uphold the dignity, rights, safety, and well-being of participants at all times. The University is committed both to safeguarding the rights, dignity, health, safety, and privacy of participants, and to ensuring the health, safety, rights, and academic freedom of researchers, while maintaining the institution's standing as a hub for ethically sound, high-quality research.

All scientific endeavors, including those in the social sciences, either involve human participation or have a direct impact on individuals, society, or the environment. Therefore, it is crucial for scientists and researchers to recognize the ethical considerations and potential consequences of their work and act responsibly. Ethical decision-making in research is guided by a variety of ethical standards, which may be universal or specific to particular cultures or regions.

The University expects all researchers to thoroughly assess the ethical implications of their work, both now and in the future. This requirement applies to everyone conducting research under the University's authority, whether on campus or elsewhere. While all research must account for ethical considerations, some fields require heightened attention to ethical issues. Though not exhaustive, key examples include research involving human participants (especially children and vulnerable adults), the use of human data or biological materials, and studies with significant health and safety risks. Additionally, this policy provides ethical guidelines for researchers conducting experiments on animals or microorganisms, emphasizing the importance of taking precautionary measures to ensure bio-safety.

The University has framed the Guidelines for Research Ethics to help the research community to be cognizant of their ethical views and attitudes, raise their awareness of conflicting standards, promote good judgment and enhance their ability to make well-founded decisions in the face of conflicting considerations.

1. Objectives of Research Advisory Board (RAB)

Research Advisory Board is responsible for reviewing the applications of ethics in order to ensure that adequate consideration has been given to the ethical aspects of a research project, thus not only reducing the potential for harm and upset to the human participants/animals, but also to ensure the proper disposal of potentially harmful micro-organisms and radioactive substances.

An ethics committee will assess whether the proposed research can be considered ethical;

1. Whether the research is justified, i.e whether it is likely to add to the existing knowledge base;
2. Whether it is of sufficient standard – including whether the researchers are qualified to carry out the roles proposed in the research proposal



3. Whether the risk it poses to participants is outweighed by the potential benefits of the research;
 4. Whether the research appears to comply with all statutory and other guidance;
 5. Whether the financial implications appear sound – it would be unethical to start research that may not be completed because of insufficient funds.
2. Research Advisory Board (RAB) Membership requirements:
1. The Vice-Chancellor shall be the Chairman of the Research advisory board.
 2. The member should be a faculty of the parent or other University/institute.
 3. The members from academic side shall have vast experience in research evidenced from the publications and research projects.
 4. The duration of nomination is initially for a period of 3 years and extendable for further term as well.
 5. At the end of 3 years, as the case may be, the committee shall be reconstituted, and at least one-third of the members shall be replaced by new persons.
 6. A member can be replaced in the event of death or non-availability for long-term or for any action not commensurate with the responsibilities laid down in the guidelines deemed unfit for a member.
 7. A member can tender resignation from the committee with proper reasons to do so.
 8. All members should maintain absolute confidentiality of all discussions during the meeting and sign a confidentiality form.
 9. Conflict of interest, if any, should be declared by members of the RAB.

3. Quorum requirements:

The minimum of half of the total number of members are required to compose a quorum.

3.1 Offices

The Chairperson will conduct all meetings of the RAB. If for reasons beyond control, the Chairperson is not available, the senior-most member from among the members present will conduct the meeting. The Member Secretary is responsible for preparing the agenda, organizing the meetings, maintaining the minutes of the meeting, records and communicating with all concerned. He/she will prepare the minutes of the meetings and get it approved by the Chairman before communicating to the researchers.



3.2 RAB Constitution:

The RAB shall consist of the following representatives:

1. Chairman (Vice Chancellor)
2. Deans (Faculties of Science & Technology, Arts, Commerce & Management and Education)
3. Members (Chairpersons of the concerned Departments)
4. Prominent Social Worker / NGO
5. Member of Ethical Board appointed by the University (Philosophy/Theology)
6. Legal Expert
7. Special Invitees from Department- Issue based
8. Medical/Mental Health Worker
9. Member Secretary (Director, PMEB)

3.3 Meetings of RAB:

The RAB is expected to meet at reasonable intervals as and when needed (at least once in every six months). The project proposals received at least fifteen days in advance before the scheduled date of an RAB meeting will be accepted for presentation and included in the agenda for that meeting. The principal investigators of the projects or their nominated representatives are expected to make an appropriate presentation before the RAB and defend themselves against any doubts, clarifications, questions, suggestions, recommendations or corrections offered by the members thereof.

The Member Secretary shall in advance inform the concerned principal investigator/s whose project/s is/are scheduled for review during a given meeting of the RAB. Such advance information, as well as the information on final acceptance or rejection of a research proposal should be given well within one week before or after the RAB meeting. The Member Secretary is expected to coordinate, organize and maintain the minutes of all RAB meetings. All information concerning project proposals received, discussed, debated, modified, accepted or rejected shall be kept confidential. This is equally true of infringements or trespasses made by certain research investigators, penalties discussed, or sanctions recommended by the RAB in individual cases coming up during the meetings. The files of the RAB related to investigation and adjudication of cases shall also be kept confidential in the office of the Member Secretary.

3.4 Decision-making

1. Members will discuss the various issues before arriving at a consensus decision.
2. A member should withdraw from the meeting during the decision procedure concerning an application where a conflict of interest arises and this should be indicated to the chairperson prior to the review of the application and recorded in the minutes.



3. Decisions will be made only in meetings where quorum is complete.
4. Only members can make the decision. The expert consultants will only offer their opinions.
5. Decision may be to approve or revise the proposals. Specific suggestions for modifications should be given.
6. In cases of conditional decisions, clear suggestions for revision and the procedure for having the application re-reviewed should be specified.

3.5 Communicating the Decision

1. Decision will be communicated to the researchers by the Member Secretary in writing.
2. Suggestions for modifications, if any, should be communicated to the researchers.
3. Reasons for rejection should be informed to the researchers.
4. The schedule / plan of ongoing review by the RAB should be communicated to the Principle Investigator / Researcher.

3.6 Record keeping and Archiving

1. Curriculum Vitae (CV) of all members of RAB.
2. Copy of all study protocols with enclosed documents & progress reports.
3. Minutes of all meetings duly signed by all the members and the Chairperson.
4. Copy of all existing relevant national and international guidelines on research ethics and laws along with amendments.
5. Copy of all correspondence with members, researchers and other regulatory bodies.
6. Final report of the approved projects.

4. Standard Operating Procedures (SOP)

The objective of this SOP is to contribute to the effective functioning of the RAB so that a quality and consistent ethical review mechanism for fostering research is put in place for all proposals.

5. Application Procedures:

1. All proposals should be submitted in the prescribed application format.
2. All relevant documents should be enclosed with application form.
3. Required number of copies of the proposal along with the application and documents in prescribed format duly signed by the Principal Investigator (PI) and Co-investigators / Collaborators should be forwarded by the Head of the Departments / Institution to the Ethics Committee.
4. The date of meeting will be intimated to the researcher, to be present, if necessary to offer clarifications.



5. The decision will be communicated in writing. If revision is to be made, the revised document in required number of copies should be submitted within a stipulated period of time as specified in the communication or before the next meeting.
6. Application forms and Protocols
Ethical issues must always be addressed in the proposal. All proposals must state what ethical approval the applicant(s) considers will be required for the proposed research, and why.
Research proposals, submitted for approval to RAB might be expected to include the following information in a way that is understandable to all members:
 1. Project title
 2. Expected duration
 3. Identity of field researchers and organizational base
 4. Purpose of study & Sources of funding
 5. Scientific background & Design of the study
 6. Potential participants and vulnerable group(s), if any
 7. Potential benefits and hazards
 8. Recruitment procedures & Informed consent
 9. Data collection and methods of analysis
 10. Data use conditions set by data providers
 11. Data protection, Confidentiality and anonymity
 12. Data sharing with collaborators
 13. Monitoring of the research & Dissemination of findings
 14. Expected outcomes and impact of research
 15. Researcher's assessment of ethical issues.
 16. An undertaking by the researcher/s to ensure implementation of all ethical guidelines.
 17. Ensure standard permissible limits of Plagiarism through appropriate Plagiarism Check software.
 18. Ensure no Copy Right violations have been made in the data presentation or in any research publication.
7. Review procedures:
 1. The meeting of the RAB should be held on scheduled intervals as prescribed and additional meetings may be held as and when the proposals are received for review.
 2. The proposals will be sent to members at least 10 days in advance.



3. Decisions will be taken by consensus after discussions.
4. Researchers will be invited to offer clarifications if need be.
5. Independent consultants/Experts will be invited to offer their opinion on specific research proposals if needed.
6. The decisions will be recorded in the minutes of the meeting and Chairperson's approval is taken in writing.

8. Elements of Review:

RAB should review research proposals in terms of their ethics probity. The RAB must review all important facets of research as mentioned in Application form. In addition to those, RAB may also review compensation provisions and adherence to all regulatory requirements and applicable guidelines.

10. Follow up procedures:

1. Reports should be submitted at prescribed intervals for review.
2. Final report should be submitted at the end of study.
3. Protocol deviation, if any, should be informed with adequate justifications.
4. Any amendment to the protocol should be resubmitted for renewed approval.
5. Premature termination of study should be notified with reasons along with summary of the data obtained so far.
6. Change of investigators / sites should be informed.

11. Other important aspects of Research Advisory Board Procedure:

1. The decision made for each proposal, and the grounds on which it was made, should be recorded and provided to the researchers, and a copy is kept on file with the proposal for a specified minimum period, extending at least beyond the lifetime of the project.
2. It is expected that in some cases, as research progresses, further ethical issues may arise. In such cases, Principal Investigators should go back to the RAB or the RAB itself check through the implications of the new developments and effect any changes in the project.
3. Principal Investigators and supervisors of students need to know that they must keep good records of their ethical procedures in case they are called to account for.
4. **Multi-funded Research:** If there are number of funders for a project, the Karnataka University guidelines on the ethics of research must be drawn to the attention of all proposed funders during the submission for funding. Research organizations engaged in collaborative research may agree to use the services of one of their Ethical Committees to review a joint project on behalf of all participants.



5. **Multi-performer Research:** Research involving participants from more than one institution should consider agreeing arrangements for accepting one another's decisions following formal ethics review. Each institution would retain formal responsibility for overseeing the ethical review of research conducted under its auspices but would accept the decisions made by the RAB of the institution where the principal investigator is based.
6. Where research is to be conducted outside INDIA, the Kuvempu University expects researchers to establish whether local Research Advisory Board is required by the host country, and if not, how the principles of the Kuvempu University ethical guidelines can be followed in undertaking the research.
7. **Expedited Review:** In exceptional circumstances, it may be necessary for a proposal involving possible risk of harm to receive a full review at short notice. An expedited review will be carried out by one or more members of RAB, including its Chairperson.
8. **Legal and Data requirements must be met.** Researchers must comply with legislative requirements and with those of data providers.
9. RAB generally has no authority to impose sanctions on researchers who violate ethical standards in the conduct of research involving human subjects. They may, however, withdraw ethical approval of research projects if judged necessary. Sanctions, if necessary, can be a recommendation to the University and can be in the form of fines, suspension of eligibility to receive research funding, refusal of permission to publish results, etc.
10. Any disputes arising in connection with RAB shall be subjected to the jurisdiction of Shimoga city only.
12. Ethical concerns for research involving humans
 1. To protect and promote the human rights of participants and to sensitize and encourage researchers and organizations to respect participants' rights and needs.
 2. To improve quality, legitimacy and credibility of the research in the areas of internal security.
 3. To make ethics an integral part of the planning and methodology of research, and to enable organizations and individuals to develop appropriate mechanisms for ethical self-regulation.
 4. Voluntary participation of research participants.
 5. Whether or not incentives are appropriate or ethical to encourage participation, including reimbursement of participants in line with MRC guidelines.
 6. Full information to participants, including outlining any rights to withdraw, intended publication of the results of the research, information on data use and sharing.



7. Ensuring appropriately recorded consent, allowing for cultural variations in practice whilst maintaining the central significance of consent of participants or those legally allowed consenting on their behalf.
8. Adequate support for, or protection of, participants.
9. Special issues relating to children and vulnerable adults.
10. Risk assessment in line with Health and Safety requirements including the potential for harm, stress, anxiety, etc.
11. Sensitivity of the research (e.g. drug use, cultural sensitivities, mental health, etc.)
12. Feedback to participants on the research results as appropriate.
13. Appropriate policy and practice concerning confidentiality, anonymity or acknowledgement of research participants.
14. Data Protection compliance, particularly in relation to sensitive personal data.
15. Anonymisation / pseudonymisation and secure storage of data.
16. Retention, future use, sharing or disposal of data and samples in line with consent.
17. Special issues relating to the Prevent Duty requirements.

Any research work pertaining to humans shall be conducted in accordance with the ICMR guidelines. The researchers are advised to refer the following website in this regard.
https://main.icmr.nic.in/sites/default/files/guidelines/ICMR_Ethical_Guidelines_2017.pdf

13. Ethical concerns for research involving animals

- Persons engaged in conducting scientific experiments on animals must act in conformity with the provisions of the prevention of Cruelty to Animals Act, 1960, and the Breeding of and Experiments on Animals (Control and Supervision) Rules, 1998, as amended.
- These provisions are enforced by the independent Committee for the Purpose of Control and supervision of Experimentation on animals (CPCSEA), a statutory body under the Prevention of Cruelty to Animals Act, 1960, in the Ministry of Environment and Forests.
- Persons engaged in animal experimentation have a moral responsibility for the welfare of the animals after their use in experiments. Investigators are responsible for the aftercare and/or rehabilitation of animals after experimentation, and may be permitted to euthanize.
- Animals used for biomedical purposes must be directed by a veterinarian or other scientist in a relevant discipline who is trained and experienced in the proper care, handling, and use of the species being maintained or studied. In all circumstances, veterinary care shall be provided as necessary.
- Proper use of animals in experiments and avoidance or minimization (when avoidance is not possible) of pain and suffering inflicted on experimental animals



should be an issue of priority for research personnel, and unless the contrary is scientifically established, investigators should proceed on the basis that procedures that cause pain or suffering in human beings will also cause similar pain or suffering in animals. All scientific procedures adopted with animals that may cause more than momentary or slight pain and/or suffering should be performed with appropriate sedation, analgesia or anesthesia.

- The living conditions of animals should be appropriate for their species and contribute to their health and comfort. The housing, feeding, and care of all animals used for biomedical purposes must be directed by a veterinarian or other scientist in a relevant discipline who is trained and experienced in the proper care, handling, and use of the species being maintained or studied. In all circumstances, veterinary care shall be provided as necessary.
- There should be an institutional policy to care animals by qualified personnel every day, including weekends and holidays, to safeguard their well-being including emergency veterinary care. In the event of an emergency, institutional security personnel and fire or police officials should be able to reach responsible persons for the animals. That can be enhanced by prominently posting emergency procedures, names, or telephone numbers in animal facilities or by placing them in the security department or telephone center. A disaster plan that takes into account both personnel and animals should be prepared as part of the overall safety plan for the animal facility.

14. Record keeping

It is essential that animal House should maintain following records:

1. Animal House plans, which includes typical floor plan, all fixtures etc.
2. Animal House staff record - both technical and non-technical
3. Health record of staff and animals
4. All SOPs relevant to experiments, care, breeding and management of animals
5. Breeding, stock, purchase and sales records
6. Minutes of institutional Animals Ethics Committee Meetings
7. Records of experiments conducted with the number of animals used (copy of Form D)
8. Mortality, Post-mortem Record
9. Clinical record of sick animals
10. Training record of staff involved in animal activities
11. Water, feed and bedding materials analysis report
12. Health monitoring Records
13. Rehabilitation Records



15. Institutional Animals Ethics Committee (IAEC)

“Institutional Animals Ethics Committee” means a body comprising of a group of persons recognized and registered by the Committee for the purpose of control and supervision of experiments on animals performed in an establishment which is constituted and operated in accordance with procedures specified for the purpose by the Committee;

IAEC will review and approve all types of research proposals involving small animal experimentation before the start of the study. For experimentation on large animals, the case is required to be forwarded to CPCSEA in prescribed manner with recommendation of IAEC.

IAEC is required to monitor the research throughout the study and after completion of study through periodic reports and visit to animal house and laboratory where the experiments are conducted. The committee has to ensure compliance with all regulatory requirements, applicable rules, guidelines and laws.

15.1 Composition of IAEC

Institutional Animals Ethics committee shall include members as follows.

1. A biological scientist,
2. Two scientists from different biological disciplines,
3. A veterinarian involved in the care of animal,
4. Scientist in charge of animals facility of the establishment concerned,
5. A scientist from, outside the institute,
6. A non scientific socially aware member and
7. A nominee of CPCSEA

Specialist may be co-opted while reviewing special project using hazardous agents such as radio-active substance and deadly microorganisms.

The Chairperson of the Committee and Member Secretary would be nominated by the Institution from amongst the eight members. Members against Serial number 5, 6 and 7 will be nominated by CPCSEA, with a provision of a Link nominee for CPCSEA nominee.

For further information, standard operating procedures and guidelines on the regulation of scientific experiments on animals, the researchers are advised to refer the following CPCSEA website.

http://cpcsea.nic.in/WriteReadData/userfiles/file/SOP_CPCSEA_inner_page.pdf

16. Ethical concerns for Research involving Genetic Engineering organisms (GE) / hazardous microorganisms or radioactive substances

In compliance with Rules 1989, an Institutional Bio-safety Committee (IBSC) is to be constituted by every organization engaged in research, use & application activities related to genetic engineering (GE) organisms (GE organisms include microorganisms, animals, plants, arthropods, aquatic animals, etc.) and hazardous microorganisms (“microorganisms” shall include all the bacteria, viruses, fungi, mycoplasma, cells lines, algae, protozoan’s and



nematodes). IBSC is the nodal agency within an organization for implementation of the bio-safety regulatory framework.

Institutional Bio-safety Committee (IBSC) is to be constituted in all centers engaged in genetic engineering research and production activities. The Committee will constitute the following.

1. Head of the institution or his nominee
2. 3 or more scientists engaged in DNA work or molecular biology with an outside expert in the relevant discipline.
3. A member with medical qualification-Bio-safety officer (in case of work with pathogenic agents/large scale used.)
4. One member nominated by DBT

The Institutional Bio-safety Committee shall be the point for interaction within institution for implementation of the guidelines. Any research project which is likely to have biohazard potential (as envisaged by the guidelines) during the execution stage or which involve the production of either micro-organisms or biologically active molecules that might cause biohazard should be notified to IBSC. The IBSC will allow genetic engineering activity on classified organisms only at places where such work should be performed as per guidelines. Provision of suitable safe storage facility of donor, vectors, recipients and other materials involved in experimental work should be made and may be subjected to inspection on accountability.

17. The Bio-safety functions and activity include the following:

1. Registration of Bio-safety Committee membership composition with Review Committee on Genetic Manipulation (RCGM) and submission of report.
ISBC will provide half yearly reports on the ongoing projects to RCGM regarding the observance of the safety guidelines on accidents, risks and on deviations if any. A computerized Central Registry for collation of periodic reports on approved projects will be setup with RCGM to monitor compliance on safeguards as stipulated in the guidelines.
2. Review and clearance of project proposals falling under restricted category that meets the requirements under the guidelines.
IBSC would make efforts to issue clearance certificates quickly on receiving the research proposals from investigators.
3. Tailoring bio-safety program to the level of risk assessment (d). Training of personnel on biosafety
4. Instituting health monitoring program for laboratory personnel Complete medical checkup of personnel working in projects involving work with potentially dangerous microorganism should be done prior to starting such projects. Follow up medical checkups including pathological test should be done periodically, at annually for scientific workers involved in such projects. Their medical record



should be accessible to the RCGM. It will provide half yearly reports on the ongoing projects to RCGM regarding the observance of the safety guidelines on accidents, risks and on deviations if any.

The researchers engaged in the relevant field are advised to conduct research work in accordance with the IBSC guidelines. For more information, the following website can be visited.

<https://ibkp.dbtindia.gov.in/Content/FlashPDF/IBSC%20Handbook.pdf>

18. Ethical concerns for research involving Plants Herbarium

Researchers who are interested in using plant material including collection of Plants from other places must comply with research and ethical committee Guidelines of Kuvempu University

Researcher carrying out collection of plants should submit the voucher specimens.

The same specimen should be deposited in a public herbarium with details of the specimen.

If the plant material involves from other countries should also take the National Biodiversity Authority Permission and this procedure should be taken care by the Research and Ethical Committee of Kuvempu University. In case of Organisms the same condition apply, with due concern with the Quarantine station of India

1. They will communicate clearly and honestly to all with whom they work the objectives and possible consequences of their research. If the research has a commercial objective, researchers will make that explicit, and will disclose within reason the expectations for results;
2. They will comply with all rules and limitations that local people, their communities, or their institutions place on the research, provided that such rules and limitations do not violate other guidelines. They will not attempt to gain information through deception, nor will they “trick” people into revealing “secret” information. They will offer to supply any reports or materials resulting from their research
3. They will respect any request for confidence made by those providing data or materials, provided that maintaining such confidence does not compromise other ethical considerations;

They will respect individuals’ rights to anonymity and the rights of privacy of those with whom they work;

They will refrain from any activity which appears to represent a conflict of interest;

They will ensure humane treatment of Humans/Animals used for plant experimentation;

<http://www.nbaindia.org/>

<https://kbb.karnataka.gov.in/>

<https://kbb.karnataka.gov.in/storage/pdf-files/Biological-Diversity-Act-Rules-Book-complete-version-2016-17.pdf>



Annexure 1

APPLICATION FORM FOR SEEKING APPROVAL FROM RAB

(For Office Use) Reference No. _____

S.No.	FIELD	DETAILS
1	Title of Project	
2	Principal Investigator	
3	Co-Investigators (If any)	
4	Proposed Duration of Project	
5	Estimated Budget Requirements	
6	Source of Funding	
7	Statement of the Problems & Objectives	
8	Purpose of study	
9	Scientific background	
10	Design of the study	
11	Potential participants and vulnerable group, if any	
12	Recruitment procedures	
13	Potential benefits and hazards of the study	
14	Informed consent format	
15	Data collection and analysis methods	
16	Data use conditions set by secondary data providers	
17	Data protection provision	
18	Mechanism for Monitoring of the research	
19	Expected outcomes and impact of research	
20	Mode & timing of Dissemination of findings	
21	Researcher's assessment of ethical issues.	
22	Identity of field researchers and organizational base	

*Use additional sheets wherever required.



DECLARATION

I, Dr / Mr./ Ms.....have read the ‘Guidelines on The Ethics of Research’ being followed by the Kuvempu University, Shimoga. I promise to abide by all the guidelines enunciated therein during the execution of the project titled

I shall proceed to commence work on my project only after securing a written approval from RAB. I agree to be held accountable for any unforeseen mishaps, insults, injuries or harms occurring to my human research subjects during their participation in the research process.

Date: (Principal Investigator)

Annexure 2

SPECIMEN FORMAT FOR SEEKING INFORMED CONSENT FROM HUMAN SUBJECTS INVOLVED IN RESEARCH PROJECTS AT KUVEMPU UNIVERSITY

Information to the Participants:

In this section, include information on the title and objectives of the study being undertaken along with the type or number of human subjects being included or excluded as part of this research investigation. Also include under this section, details on ‘why’ or ‘what’ of the said research study being undertaken on human subjects. Highlight the risk/benefit elements involved for the human research subjects willing to participate in the said study. Emphasize that the privacy- confidentiality-anonymity of participating human subjects will be ensured from beginning to end of the study. Place on record the view that the investigators respect the autonomy and ability for free-choice of the human subjects and that they are entirely on their own either to participate or reject as per their will or wish without any resulting damage to the later services made available for such persons at the University. It is to be clarified that there is no element of coercion, influence or pressure of any kind by the researchers or the investigating institutions to participate as human subjects in the given study. There should be information on expected duration of the subject’s involvement in the research study, the total time needed and the possible number of visits to be made when included as part of the investigation. If any monies are to be paid towards participation or travel, the subjects should be explained on such terms and conditions in clear and explicit terms.

Please note that the above format is only a guideline, which may need to be modified according to the situation or need for special research projects. It may also require changes depending on whether the participating human subject is a child, adult, person with disability, guardian or caregiver. Further, if the participant is not proficient in English, it must be ensured that the consent form is given in a language read or understood easily by the subject.



The informed consent format could be in minimum of three languages including English, Hindi and the Regional language.

The translated version must be necessarily true and representative of the original version.

Informed Consent

I have been informed about the aims, objectives and the procedure of the study. The possible risks-benefits of my participation as human subject in the study are clearly understood by me. I understand that I have a right to refuse participation as subject or withdraw my consent at any time without adversely affecting my/my ward's treatment by Kuvempu University, Shimoga. I am also aware that by subjecting to this investigation, I will have to give more time for assessments by the investigating team and that these assessments may not result in any benefits to me. I have the freedom to write to Chairman, RAB, in case of any violation of these provisions without the danger of my being denied any rights to secure any services at Kuvempu University, Shimoga.

I, _____, the undersigned, give my consent to be participant of this investigation/study/program.

Signature of Parent/ Guardian
(Name and Address)

Signature of Witness
(Name of Witness)

Date:

Signature of Investigator
Name and Designation



Annexure 3

SPECIMEN FORMAT FOR ACCEPTANCE/ REJECTION OF ‘ETHICS APPROVAL’ FOR RESEARCH PROJECTS AT KUVEMPU UNIVERSITY, SHIMOGA.

INSTITUTIONAL REVIEW BOARD

Title of Project:
Principal Investigator:.....
Co-Investigators (If any):.....
Proposed Duration of Project:
Estimated Budget Requirements:.....
Source of Funding:.....

.....
Reference Number of the Proposal:.....
Date on which RAB Meeting was held:.....
Decision of the RAB:.....
Clear Statement of Decision Reached at RAB Meeting in the event of a proposal being not approved, a statement of reasons for the same must be indicated:.....

.....
ADVICE & SUGGESTIONS (IF ANY):
.....
.....

Date:

Name & Signature of Member Secretary



References:

1. Margit Sutrop and Prof. Carmen Florea Guidance Note for Researchers and Evaluators of Social Sciences and Humanities Research, 2010.
2. Ron Iphofen, *Ethical Decision-Making in Social Research. A Practical Guide*, London: Palgrave Macmillan, 2009, p. 19.
3. National Committee for Ethics in Social Science Research in Health (NCESSRH). *Ethical guidelines for social science research in health* by Centre for Enquiry into Health and Allied Themes, 2004.
4. ForskningsetiskeKomiteer. *Guidelines for research ethics in the social sciences, law and the humanities*. National Committees for research ethics in Norway, 2006.
5. ESRC Framework for Research Ethics (FRE) 2010 & 2012.
6. Social Research Association. *Ethical Guidelines*, 2003.
7. Indian Council of Medical Research. *Guidelines for preparing Standard Operating Procedures (SOP) for Institutional Ethics Committee for Human Research*.
8. Paul de Guchteneire. UNESCO. *Code of conduct in social science research*.
9. All India Institute of Speech & Hearing, Mysore. Ethical guidelines for bio- behavioural research.
10. https://main.icmr.nic.in/sites/default/files/guidelines/ICMR_Ethical_Guidelines_2017.pdf
11. http://cpcsea.nic.in/WriteReadData/userfiles/file/SOP_CPCSEA_inner_page.pdf <https://ibkp.dbtindia.gov.in/Content/FlashPDF/IBSC%20Handbook.pdf>
12. <http://www.nbaindia.org/>
13. <https://kbb.karnataka.gov.in/>
14. <https://kbb.karnataka.gov.in/storage/pdf-files/Biological-Diversity-Act-Rules-Book-complete-version-2016-17.pdf>



Kuvempu University

Jnanasahyadri, Shankaraghatta-577451
Shivamogga District, Karnataka State, India.

Kuvempu University Regulations governing the Degree of Doctor of Philosophy (Ph.D.), 2017

At present Kuvempu University is following the Ph.D. Regulations assented to by His Excellency, the Chancellor in 2010 which has incorporated the Provisions of Ph.D. Regulations of University Grants Commission (UGC), New Delhi as notified in the Official Gazette of Union Government of India dated July 11, 2009.

The experience of the university during this thirteen-year period with these Regulations has enabled it to identify certain areas wherein revision is not only desirable but also necessary. The University Grants Commission (Minimum Standards and procedure for Award of M. Phil/Ph.D. Degrees) Regulations 2016 became came in to effect from July 5th 2016.

In this background, suggestions were invited from the members of faculty and administrators with regard to revision of the existing Ph.D. Regulations. After thoroughly discussing and incorporating the appropriate suggestions received from the teachers and the administrators, the draft of **Kuvempu University Regulations governing the Degree of Doctor of Philosophy (Ph. D), 2017** has been finalized.

01. Short Title and Commencement

- 1.1. These Regulations shall be called as **Kuvempu University Regulations governing the Degree of Doctor of Philosophy (Ph.D), 2017**.
- 1.2. These Regulations shall come into force from the date assented by His Excellency the Chancellor.

However, the candidates who have registered for Ph.D. programme prior to the date of notification of these Regulations shall continue to be governed by the earlier Regulations.

02. **Definitions:** In these Regulations, unless the context otherwise requires or it is specifically defined,

- 02.1. **Affiliated/Constituent P.G. College** means the college offering Post-Graduate Programme/s in concerned subject besides the Graduate Programmes. Only such P.G. College is eligible to apply for Research Centre/s in the subject/s in which it is offering the P.G. Programme/s.

- 02.2. **Academic Council** means Academic Council of Kuvempu University.

- 02.3. **Board of Studies** means the Board of Studies (Post-Graduate) of the University in the discipline/subject concerned.

- 02.4. **Co-supervisor or Co-Guide** means the eligible teacher/faculty /or a scientist of a research institute who is a recognized Research Supervisor/Guide of any

university/institution who guides and supervises an inter-disciplinary Doctoral Programme of a candidate as the second supervisor along with the Research Supervisor.

- 02.5. Course work** means the four courses and a comprehensive viva-voce prescribed as a part of Ph.D. programme and which all candidates should successfully complete as a pre-requisite to get their temporary registration confirmed and to start the work on the Doctoral thesis.
- 02.6. Degree** means the Degree of Doctor of Philosophy (abbreviated as, Ph.D.) of Kuvempu University.
- 02.7. Department Council** means the council comprising the teachers of the university P.G. Department working on regular basis.
- 02.8. Departmental Research Committee** means the committee comprising all the recognized research guides of the University P.G. Department.
- 02.9. Research Advisory Committee** means the committee constituted by the university to assess and recommend the applications to the Board of Studies on the basis of suitability of the Ph.D. applicant/research outline for registration, and also to review the progress of the candidates, and to permit the candidate to submit the thesis after reviewing the work of the candidate in the pre-submission colloquium.
- 02.10. Employed Person** means any individual who works in a public/private institution/ organization on permanent/ temporary/ full-time/ part-time/ ad-hoc/ contractual basis.
- 02.11. Entrance Test** means the test which the applicants who seek to qualify themselves for Ph.D. registration have to appear for.
- 02.12. External Examiner** means an academician / researcher with published research work who is not part of this University, but from a recognized University or research institute.
- 02.13. Fee** means the fee prescribed by the University for the Ph.D. programme.
- 02.14. Foreign Students** means those who are foreign citizens and have completed their master's degree or equivalent recognized by UGC as equivalent to P.G. Degree from a recognized foreign/Indian university/institution.
- 02.15. Internal viva-voce** means the presentation of Ph.D. proposal by the candidate before the Departmental Research Committee to identify the broader area of research and also to show his/her preparedness to take up the research work.
- 02.16. Interdisciplinary Research** means research conducted by a Ph.D. scholar in two or more academic disciplines.
- 02.17. Plagiarism** means the practice of taking someone else's work or idea and passing them as one's own.
- 02.18. Research Advisory Board** means the Kuvempu University Research Advisory Board constituted by the university to assess the quality of publications.
- 02.19. Research Proposal/Outline** means an outline of proposed research work which shall include, among others, introduction to the research problems/issues, objectives, methodology, *etc.*, and which shall be submitted by the candidate along with the application (*i.e.*, with Application – C) for confirmation of Registration.
- 02.20. Research Supervisor/Guide** means the eligible teacher/faculty/ scientist who is recognized by this university as Research Supervisor/Guide to guide the candidates in their Ph.D. programme.
- 02.21. Sponsored Candidate** means those permanent employees of educational and/or research institutions and organizations of public/private sector, and deputed to

pursue Ph.D. on full time basis including the teachers on Faculty Development Programme (FDP).

02.22. Synopsis means the final synopsis of the completed research work which shall be submitted by the candidate along with the application for permission to submit the thesis.

02.23. University means Kuvempu University.

03. Subject/Topic of Research and Nature of Research Programme

3.1. The subject/Topic of research shall normally be the one relating to the main branch of knowledge chosen by the applicant at the Post-Graduate studies.

3.2. However, a candidate who is willing to take up research work in a subject other than the one chosen for Post-Graduate Degree and/or in a subject which is of an interdisciplinary in nature shall also be eligible for registration subject to the following conditions.

3.2.1 The candidate shall be eligible for registration provided the research topic and the outline are recommended by the Research Advisory Committee and approved by the Board of Studies of the subject/discipline concerned where in he/she wants to work for Doctoral Degree.

3.2.2 In this type of research work, the Degree shall be awarded in the subject/discipline in which he/she has applied for Ph.D. work.

3.2.3 Further, if the Research Supervisor requires, a co-guide from another discipline (*i.e.*, the subject chosen by the candidate at his/her Master's Degree) may be taken.

3.3. There shall be two types of Research Candidates – Full timers and Part timers. Part-time Ph.D. programme is normally for the benefit of those candidates who are employed in an institution/organisation (permanent or temporary).

The University shall obtain a 'No Objection Certificate' through the candidate for a part-time Ph.D. programme from the appropriate authority in the institute/organization where the candidate is employed (permanent or temporary) clearly stating that;

- a) The candidate is permitted to pursue studies on a part-time basis.
- b) His /her official duties permit him/her to devote sufficient time for research.
- c) If required, he/she will be relieved from the duty to complete the course.

3.4. The other candidates who take up Ph.D. programme on full time basis shall give an undertaking to the effect that they will not take up any job during the period of research on full time basis. However, if they take up any job, they should inform the university immediately (through their Research Supervisors and the Chairperson of the P.G. Department) and get their registration converted into part-time.

3.5. Each Department/centre shall maintain an attendance register for full-time researchers wherein they (*i.e.*, full time researchers) have to sign every day. On the recommendation of the guide, the Chairman of the Department (where the candidate has enrolled for Ph.D. programme) shall permit the full-time researchers to undertake field work, to use facilities in the university Department/centre for their

research work and to attend the seminars/symposia/workshops/conferences, *etc.*, if these are on the broader theme of their research.

04. Eligibility to apply for Admission to Ph.D. Programme

The following are eligible to seek admission to the Ph.D. programme

4.1 Candidates for admission to the Ph.D. programme shall have successfully completed:

4.1.1 A 1-year/2-semester Master's degree programme (after 4 year undergraduate degree) with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10- point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions.

4.1.2 A 2-year/4-semester Master's degree programme, with the same conditions as in sub- clause 2.1.1 above;

4.1.3 A candidate seeking admission after a 4-year/8-semester Bachelor's degree by Research should have a minimum of 75% marks in aggregate or its equivalent grade (CGPA of 7.5/10.)

4.2 Candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 10-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a Foreign Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme.

4.3 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their master's degree before 19th September 1991.

Note: The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures, if any

05. Intake

- 5.1** A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, can guide up to a maximum of Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph. D. scholars. The maximum number of Ph.D. scholars a research supervisor can take shall include candidates who have registered for Ph.D. degree in other universities/ institutes. A teacher shall obtain 'no objection certificate' from this university to accept guide ship in other universities.
- 5.2** For the purpose of reservation of seats for different categories, each Department shall be taken as a unit. All vacant seats with all Research Supervisors of the Department (including with the recognized Research Supervisors of other institutions) at the time of notification (inviting applications for Ph.D. programmes) represent the seats available in a particular discipline/subject/Department, shall be published and these seats shall be allotted to different categories in accordance with their merit in the entrance test (including Internal viva-voce) and the Reservation Policy of Government of Karnataka issued from time to time. However, the Department Council should ensure that every supervisor should follow the Reservation Policy of Government of Karnataka if the eligible candidates are available in respective categories.
- 5.3** Seats reserved for SC and ST candidates are interchangeable (*i.e.*, SC vacancy may be filled with ST candidate and vice-versa if there is no eligible and qualified candidate from SC or ST). If the seats reserved for SC and ST candidates remain vacant they shall not be transferred to any other category.
- 5.4** But in other categories (such as Categories – I, IIA, IIB, IIIA and IIIB, *etc.*), if there is no eligible and qualified candidate from one or more of these categories, then the seats reserved for them shall be filled from eligible candidates from other categories in accordance with roster cum merit.
- 5.5** Each supervisor can guide up to two international research scholars on supernumerary basis over and above the permitted number of Ph.D. scholars as specified in section 5.1.
- 5.6** One seat for each guide with a sponsored project and a provision of project fellow (within the maximum ceiling/limit) shall be reserved for candidate possessing the eligibility criteria as specified in section 4.1.
- 06. Vacancy of seats:** All seats vacant at the time of preparation of selection list will be taken into consideration for admission. Research candidate who have submitted their thesis will be considered as a vacant.
- 07. Submission of Applications and their Processing:** Applications by the eligible and interested candidates shall be submitted as follows.
- 7.1.** Submission of application (for convenience, this application shall be coded as, Application – A) to the chairperson of the P.G. Department concerned (in response to university notification inviting applications for Ph.D. programme) for entrance test (which shall be conducted by the P.G. Department concerned).
- 7.2.** The chairperson of the P.G. Department, with the help of the Departmental Council, shall arrange for (a) scrutiny of applications to ascertain their eligibility to appear for the entrance test, category, etc., (b) conducting the entrance test and to get the answer scripts valued – get the question papers set from the Departmental Research Supervisors and/or external examiner/s, conduct the test, get the answer scripts valued by the Departmental Research

Supervisors, (c) preparing the List of applicants qualified in the entrance test, (d) arranging for an internal viva-voce (by the Departmental Research Committee) for all candidates qualified in the entrance test, (e) preparation of Consolidated Merit List of all eligible and qualified applicants (based on entrance test and internal viva-voce) and (f) the preparation of list of candidates selected.

- 7.3. Upon successful completion of entrance test and the internal viva-voce, the selected candidates shall submit another application (Application – B) (to the Chairperson of the P.G. Department concerned) for Provisional Registration for Ph.D. programme after paying the requisite fee to the university.
- 7.4. The chairperson of the Department shall send the applications (received for Provisional Registration) to the university for Provisional Registration. The date of Provisional Registration shall be the date on which the Departmental Research Committee met and conducted the internal viva-voce.
- 7.5. All candidates who are given Provisional Registration should take up and complete the prescribed course work successfully.
- 7.6. After the completion of the course work, the successful candidates shall submit the third and final application (Application – C) to the chairperson of the P.G. Department along with the Research Proposal/Outline. The chairperson of the P.G. Department shall convene a meeting of Research Advisory Committee for conducting the colloquium (prior to confirmation of Registration). The chairperson of the P.G. Department shall send the Research Proposal/Outline along with the recommendation of Research Advisory Committee to the Chairperson of Board of Studies for its (i.e., Board's) approval. The Chairperson of the Board shall return them, after approval or otherwise, to the chairperson of the P.G. Department who shall forward them to the University for Confirmation of Registration for the Ph.D. programme.
- 7.7. Each of the Applications - A, B and C shall specify the list of documents to be enclosed by the candidates. Further, all application forms (A to C) shall be made available to the candidates on the official website of the university and the print-outs of these forms shall be treated as original by the university.

08. Entrance Test

- 8.1 All applicants (except foreign nationals) who possess at least the minimum percentage of marks at their P. G Degree as specified above in Sections 4.1 to 4.3 shall appear for the entrance test.
- 8.2 The entrance test shall be conducted by the Departments as per the following norms.
 - 8.2.1 Maximum marks for entrance test: 80
 - 8.2.2 Nature of questions: Objective type-multiple choice questions.
 - 8.2.3 Syllabus for entrance test: Research Methodology and cognate subjects of the P.G. programme of the Department. The syllabus will be designed by respective department.
 - 8.2.4 Number of Questions: 40 objective type questions-each carrying 2 mark. 50% of the questions shall be from Research Methodology and the remaining from the cognate subjects.
 - 8.2.5 Duration of entrance test: 3 hours.

- 8.3** The minimum for pass in the entrance test (*i.e.*, excluding marks secured in the internal viva-voce) shall be 50% of the total marks (*i.e.*, 40 marks) for which the test is conducted with a relaxation of 5% to SC/ST/ OBC(non-creamy layer)/differently-abled category candidates (*i.e.*, minimum is 45% *i.e.* 36 marks). There shall be no minimum marks for pass in the entrance test for those candidates who have qualified UGC/CSIR NET (including Lectureship/SLET/teacher fellowship holder/Inspire Fellowships)
- 8.4** However, if seats are unfilled under the categories of SC/ST/OBC (non-creamy layer) /differently-abled category the university shall conduct entrance test for already applied candidates, who have not qualified in the previous entrance test. The entrance test and other process shall be completed within a period of one month and vacant seats shall be allotted to the qualified candidates. Only those who have passed the second entrance test as per section 8.3 shall be considered for admission to vacant seats.
- 8.5** If a candidate has qualified for the Junior Research Fellowship (JRF) of any funding agencies/ DST inspire fellow after the process for the Ph.D. admission is over and the validity of his/her fellowship expires before the next notification for Ph.D. admission is issued by the University a separate test shall be conducted by the department with permission of the university.
- 8.6** The candidates who have qualified National Entrance Test (NET) with research fellowship of any funding agency are exempted from University entrance examination. Such candidates shall be admitted for Ph.D. programme directly. However, if more than one such candidates are available for Ph.D. programme in a subject, then a separate Viva/interview shall be conducted for those candidates to determine their rank and allot a Ph.D. guide.

09. Preparation of Merit List of Successful Candidates

- 9.1** List of all candidates who are eligible for Provisional Registration (as per Sections 4.1 to 4.3) and who have qualified in the entrance test (as per Section 8.3) shall be prepared on the basis of the marks obtained in the entrance test conducted by the University Department.
- 9.2** For all eligible and qualified candidates (as per Section 9.1), The Departmental Research Committee shall conduct an internal viva-voce for 20 marks. The Departmental Research Committee shall have all the recognised Guides as members with the Chairman of the Department as its chairman. The viva-voce shall consider the following parameters.
- 9.2.1** Research competence of the candidate
- 9.2.2** Whether the research work can be suitably undertaken at the Department /Institution /College.
- 9.2.3** Whether the proposed research has the potential to contribute to the existing Knowledge
- 9.3** Merit list shall be prepared based on marks obtained in entrance test and viva-voce examination conducted by the university

10. Allotment of Research Supervisor

- 10.1.** Based on the performance of the candidates in the entrance test and viva-voce, the chairman of the Department with the help of Departmental Research Committee, shall prepare the Consolidated Merit List. On the basis of Consolidated Merit List and Reservation Categories of the applicants, the Provisional Selection List shall be prepared.

- 10.2. Based on the broader area of research of the candidate (stated during the internal viva-voce), Rank Number in the consolidated merit list, specialization stream of the candidate and the Research Supervisor, willingness of both the Research Supervisor and the Candidate, etc., the candidates shall be allotted to the Research Supervisors by the committee. As far as possible, the committee should ensure that candidates belonging to different categories are allotted to each of the Research Supervisors.
- 10.3. After the allotment of candidate to the supervisor, the candidate shall finalize the research topic/title in consultation with the Research Supervisor and complete the research proposal/outline and submit to the Chairperson of the P.G. Department for provisional registration.
- 10.4. No fresh Ph.D. candidates shall be allotted to a recognized guide who is under deputation on an administrative position to any other University/Institute. However, he/she shall be permitted to continue guidance to those candidates who are already registered under him/her supervision.
- 10.5. If a recognized guide is deputed on an academic position within or outside the jurisdiction of Kuvempu University, shall be eligible to take fresh Ph.D. candidates, only if there is sufficient research facility is available in the place of his/her work. This shall be certified by the Head of that institute along with a No-objection letter to be mandatorily submitted at the time of allotment.

11. Research Outline and Colloquium prior to Confirmation of Registration

- 11.1. Every candidate who has successfully completed the course work examination shall submit Application – C along with the Research Proposal/Outline (Section 10.3) to the chairperson of the P.G. Department, who in turn shall arrange for a meeting of Research Advisory Committee and to place it before the Research Advisory Committee.
- 11.2. The candidate shall prepare the Research Outline defining clearly the statement of problems, objectives, methodology, expected results and their implications, filling up of the gaps in the existing knowledge and its socio-economic/scientific relevance, etc. It shall be in the form of a brief technical report comprising of, besides the above aspects (and also the aspects specified in Section 3.15), literature survey, work plan and the relevance of the proposed research. The candidate shall present it in the form of a colloquium before the Research Advisory Committee.
- 11.3. The Research Advisory Committee shall assess the preparedness of the candidate to take up the proposed research work and recommend to the Board of Studies for its approval. However, the committee is empowered to suggest changes, if necessary, in the title/scope/methodology of the proposed research topic/outline in consultation with the Supervisor. If the performance and / or preparedness of the candidate is not satisfactory, the Research Advisory Committee shall give one more chance to the candidate to prepare for the colloquium again within three months from the date of the first colloquium to show his/her preparedness to take up the Doctoral work. If the performance and / or preparedness of the candidate in the second attempt is also not satisfactory, he / she shall not be eligible for confirmation of Registration. And his/her Provisional Registration shall be cancelled.
- 11.4. The Research Proposals/Outlines of all applicants cleared and recommended by the Research Advisory Committee for confirmation of Registration shall be sent to the chairperson of Board of Studies (by the chairperson of the P.G. Department) for obtaining its approval.

- 11.5. The Board of Studies concerned shall scrutinize the research outlines/proposals, and accord its approval or otherwise – if the Board is not satisfied with the title of the research work and/or research issues, objectives, etc., it shall return the proposal with its suggestions. When a proposal is returned by the Board with its suggestions, the candidate shall, in consultation with the Research Supervisor, incorporate them and resubmit to the chairperson of the P.G. Department who in turn sends them to the BoS or forwards them to the university depending upon the type of resolution of BoS.
- 11.6. On receipt of the research outlines/proposals approved by the Board, the chairperson of the P.G. Department shall forward Application – C and Research Proposals/Outlines to the University for confirmation of Registration which shall be issued by the university within seven days from the date of receipt of Application – C and approved research proposals from the chairman.
- 11.7. Within a week after the receipt of confirmation of Registration, every Researcher, through the Guide and chairman of P.G. Department, shall submit both hard and soft copies of Research Outline/Proposal to the university Librarian for uploading to UGC’s Shodhgangotri.

12. Coursework

- 12.1. After Provisional Registration, all registered candidates shall attend and complete the course work and the course work examination in the respective P.G. Department/College/Institution within the first six months (from the date of Provisional Registration), and this is compulsory for both full timers and part timers. However, the course work classes shall be for one semester period (*i.e.*, four months) as per the academic calendar notified by the university.
- 12.2. The course work for Ph.D. programme shall comprise four courses and a comprehensive viva-voce as presented below.

Structure of Ph.D. Course work

Name of the Course	Hours / Week	Credits	Maximum Marks			Exam Hours
			Continuous Assessment	Course End Exam	Total	
Course - I: Research Methodology	4	4	25	75	100	3
Course - II: Cognate Subject	4	4	25	75	100	3
Course - III: Field of Specialization	4	4	25	75	100	3
Course – IV: Research & Publication Ethics	2	2	10	40	50	1 1/2
Comprehensive Viva-voce	-	2	-	50	50	-
Total	14	16	85	315	400	

- 12.3. Each Course (except Comprehensive Viva-voce) shall have 48-64 contact hours – Classes for Courses – I, II & IV (which are common to all research candidates in the discipline/subject concerned) shall be arranged by the chairperson of the P.G. Department/head of the recognized research centre, and that for Course – III (which differs from candidate to candidate depending upon the research topic) by the Research Supervisor concerned.
- 12.4. All provisionally registered candidates shall attend at least 75% of the classes in each course (except Comprehensive viva-voce) to be eligible to appear for the examination. A candidate shall be considered to have satisfied the requirement of attendance for each course, if he/she

has attended not less-than 75% of the number of classes held up to the end of the course work including tests, seminars, group discussion, practical, tutorials, special classes and lectures, etc.

- 12.5. A candidate who does not satisfy the requirements of attendance (at least 75% in each course) shall be ineligible to appear for the examination of that course/s. And the candidate shall repeat that course in the subsequent batch/year as regular candidate. If any candidate fails to complete the course work examination successfully even in the second attempt, his/her Provisional Registration shall be cancelled.
- 12.6. After the examination of all the three courses, a comprehensive Viva-voce examination shall be conducted by the Board of Examiners (Ph.D. course work).
- 12.7. Continuous Assessment Marks of the course work shall be awarded by the course teacher based on (a) Assignment – 5 marks, (b) Review of Literature – 5 marks, (c) Seminar – 5 marks, and (d) Two Tests – 10 marks.

13. Examination and Evaluation of Answer Scripts

- 13.1. There shall be a Board of Examiners for Ph.D. course work for the period of one year constituted in the regular Board of Appointment of Examiners (BoAE) for P.G. Examination meeting under the chairmanship of Vice-Chancellor based on the panel of examiners approved by the Board of Studies.
- 13.2. The chairperson of the Board of Examiners shall get the requisite number of questions papers set, get them approved by The BoE, send them to the Registrar (Evaluation) and arrange for the evaluation of answer scripts. The chairperson of the P.G. Department shall conduct the examination for all eligible candidates (i.e., including those who have registered provisionally for Ph.D. programme in other institutions) in the Department.
- 13.3. There shall be Course-end Examination of three-hour duration for 75 marks and One & half hour for 40 marks course. Each answer script of the Course-end Examination shall be coded and assessed by two examiners (internal and external or both external). The marks awarded to the answer script shall be the average of these two evaluations.
- 13.4. If the difference in the marks between two evaluations exceeds 20% of the maximum marks, such a script shall be assessed by a third external examiner. The marks awarded to that script shall be the average of two nearer marks out of the three evaluations.
- 13.5. A candidate who desires to challenge the marks awarded to him/her in the course-end examination may do so by submitting an application along with the prescribed fee to the Registrar (Evaluation) within 15 days from the date of announcement of the result. The challenged valuation script shall be valued by another external examiner. The marks awarded to that answer script shall be the average of two nearer marks out of three/four evaluations.

14. Minimum Pass Marks and Attempts

- 14.1. Minimum for pass in each course shall be 50% (CGPA of 5.0 on 10-point scale) considering both the internal assessment and course-end examination including viva-voce examination, out of which at least 30 marks (i.e., 40% of 75 marks) shall be from course-end examination.
- 14.2. However, there is no minimum pass marks for Comprehensive Viva-voce. But the candidate shall secure at least 50% marks in all the courses and total marks shall be 165 including Comprehensive Viva-voce.

- 14.3.** Failed candidates are allowed to take only one more attempt within six months of their first examination. In case of failure of the candidate even after the second attempt, his/her Provisional Registration shall be cancelled.

15. Confirmation of Registration

- 15.1.** Upon declaring the result of the candidate with at least 50% marks in each course and all courses put together including Comprehensive Viva-voce, he/she shall submit another application (Application – C) to the chairperson of the P. G Department along with the Research Proposal/Outline. The chairperson shall arrange for a (pre-registration confirmation) colloquium by the Research Advisory Committee where in the candidates have to present their Research Proposals (Section 11.2). After the recommendation of research proposal by the Research Advisory Committee, the chairperson of the P.G. Department shall send the research proposals to BoS for approval (Sections 11.5 and 11.6). If approved by BoS, the chairperson of the P.G. Department shall forward the applications (Form – C) and the Research Proposals to the university for the issue of notification confirming the registration.
- 15.2.** University shall issue the necessary notification within seven days [from the date of receipt of Application – C and Research Proposal (approved by BoS) from the Department] confirming the registration which is in the form of permission to start the work on the thesis.

16. Place of Course work and Research Work

- 16.1.** All provisionally registered candidates shall complete the course work in the P.G. Department of the University or in the Institution or the Department of an affiliated/constituent college (wherein the Research Supervisor is working) recognized by the University as Research Centre. This shall apply to all types of candidates.
- 16.2.** The part time candidates shall stay for at least 60 days in the Department of the Research Supervisor during his/her research work excluding the days stayed during course work.
- 17. Change of Jurisdiction:** A candidate, whose registration is confirmed and completed at least one year from the date of confirmed registration, may be permitted (at the request of the candidate) by the University to leave the jurisdiction of the University and continue to carry out his/her research work in another University/Institution, *etc.*, which has facilities in the research area concerned and which is recognized by this university as Research Centre, retaining his/her registration, on the specific recommendation of the Research Supervisor and the Research Advisory Committee.

18. Progress Reports

- 18.1.** After the completion of course work and confirmation of registration, every candidate shall submit half-yearly progress report regularly (one by the end of June and another by the end of December of every year) through the Research Supervisor to the chairperson of the P.G. Department who shall place it before the meeting of Research Advisory Committee for its review. The half-yearly Progress Report should cover, among others, the aspects such as review of literature, new data collected/obtained, techniques developed, progress in research, discussion of the work done including any findings, work plan for the next six months, *etc.* After the approval of Research Advisory Committee, the chairperson of P.G. Department shall send one copy of half-yearly progress report to the university.
- 18.2.** Every candidate shall make a presentation once in a year before the Research Advisory Committee about the progress made by him/her during the last one year. In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the

reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University with specific reasons for cancellation of the registration of the research scholar.

- 18.3.** If a candidate fails to submit two consecutive half-yearly progress reports in time and/or if the progress of the candidate is not satisfactory for two consecutive half-year periods, the Research Advisory Committee (based on the recommendation of and/or in consultation with the Research Supervisor) shall recommend to the University for the Cancellation of his/her registration.

19. Validity Period of Registration

- a) Ph.D. Programme shall be for a minimum duration of three (3) years for full-timers and four (4) years for part-timers, including course work. A maximum duration of six (6) years from the date of admission to the Ph.D. programme for all.
- b) A maximum of an additional two (2) years can be given after the recommendation from the concerned guide followed by the approval from university authorities, provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

- c) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

- 19.1** Extension beyond the above maximum period (Section 19.1) may be granted by the University (with the approval of Vice-Chancellor) on the recommendation of the Research Advisory Committee for a period of one more year if the candidate submits application (with prescribed fee) mentioning therein justifiable reasons with the specific recommendation of Research Supervisor.

- 19.2** Under extraordinary circumstances by which a candidate is affected and such circumstances warranting a further extension of period for submission of thesis, the candidate may make an appeal (after paying the extra fee as prescribed by the University) to the chairperson of the P.G. Department through the Research Supervisor, and the chairperson of the P.G. Department shall refer the same to the Research Advisory Committee for its opinion. The opinion of the committee shall be submitted to the Vice-Chancellor (by the chairperson of the P.G. Department) for consideration and his/her decision shall be final. And there shall be no extension beyond 6 years for full timers or 7 years for part timers.

- 19.3** If a candidate fails to submit the thesis within the maximum period allowed (as per Sections 19.1 to 19.3), then his/her registration shall get cancelled automatically.

20. Submission of Thesis

- 20.1.** Subject to the above min-max period (i.e., after minimum period but before the expiry of maximum period) for submission of thesis (Sections 19.1 to 19.3), every candidate shall submit an application along with 10 copies of synopsis of the thesis through the Research Supervisor to the chairperson of P.G. Department for permission to submit the thesis who shall arrange to place it before the meeting of the Research Advisory Committee provided the Researcher has

published at least one research papers in SCOPUS/ Web Of Science indexed journals (including the papers accepted for publication).The Researcher as the first author, and the Research Supervisor and Co-supervisor as the second and third authors respectively) and/or obtained/publish one patent based on his/her research work. He/she is required to enclose the reprints as proof of publication/acceptance of research papers/patent to the application (for permission to submit the thesis).

- 20.2.** However, papers published in peer reviewed journals other than SCOPUS indexed journals and shall be referred to the University Research Advisory Board after the approval from the Research Advisory Committee. The University Research Advisory Board will assess the quality of the journal and give its opinion whether it should be considered or not. The opinion of the University Research Advisory Board is final.

Note: To enhance the quality of the research publication the candidates and supervisors are advised to publish their research papers in the SCPOUS indexed journals.

- 20.3.** Further, the candidate who has submitted the application for permission to submit thesis shall undergo pre-submission colloquium before the Research Advisory Committee showing his/her preparedness to submit the thesis.
- 20.4.** Considering the work of the candidate, his/her performance at the pre-submission colloquium and also the publications besides other technical aspects, the Research Advisory Committee, if satisfied, shall permit the candidate to submit the thesis.
- 20.5.** However, if the Committee is not satisfied with the work of the candidate, it can, in consultation with the Research Supervisor, recommend for improvement. In this type of situation, the candidate shall appear for pre-submission colloquium again before the Research Advisory Committee within three months.
- 20.6.** After fulfilling the requirements given in section 20.1 to 20.5 the candidate shall submit (through the Research Supervisor) ten copies of the synopsis to Registrar (Evaluation) through the chairperson of the department.

Every candidate, after obtaining permission from the Research Advisory Committee for submission of thesis but before the expiry of maximum period.

- 20.7** Sections 19.1 to 19.3 and section 20.1 to 20.5 shall submit four copies of the thesis and a soft copy to the Registrar (Evaluation) through the supervisor and the Chairperson of the P.G. Department concerned. The candidate shall also pay the prescribed submission fee, and produce “No-Due Certificates” from the Chairperson of the P.G. Department, University Librarian and Hostel Warden.
- 20.8** The thesis shall include the Certificate of Anti-plagiarism issued by the university Librarian, in consultation with the Research Supervisor and the Chairman of the P.G. Department, using Anti-Plagiarism Software specified by the UGC. This certificate should state the percentage of plagiarism and no Researcher shall be permitted to submit the thesis if the percentage of plagiarism is more than 30.
- 20.9** The international students who have returned back to their countries submitting the final synopsis after the completion of 03 years shall be permitted to appear for Pre-submission colloquium through Online mode after the recommendations from the supervisor concerned.
- 20.10** If the international students who have already left India due to the expiry of Visa or financial constraints or any health issues or for some genuine reasons after completing their Ph.D. work while in the University and could not submit the thesis, then such candidates shall be allowed

to submit the Ph.D. thesis through post after the recommendations from the guide and by paying a fee prescribed for this purpose.

- 20.11** After the approval of the final synopsis by the Research Advisory Committee, the Chairperson of the P.G. Department shall write to the chairperson of Board of Studies for the Panel of Adjudicators (approved by BoS). The chairperson of BoS shall send the approved panel directly to the Registrar (Evaluation) by name.
- 20.12** For the adjudication of Ph.D thesis, there shall be a Board of Adjudicators consisting of the Research Supervisor as the chairperson and two external members to be chosen by the Vice-Chancellor from a panel of ten examiners prepared and approved by the Board of Studies.
- 20.13** The panel of adjudicators prepared and approved by the Board of Studies shall include only the experts in the concerned field and not below the cadre of Associate Professors, or scientists (Grade 'C') who possess Ph.D. in the discipline/subject concerned and have evidence of research experience in the field of research. Further, out of ten experts, five shall be from other universities within Karnataka and the remaining five from universities/institutions outside Karnataka. However, if the experts for the thesis written in Kannada are not available in universities/institutions outside the state they may be selected from the universities/institutions from within Karnataka. If the Research Supervisor prefers foreign adjudicator, he/she shall intimate the same to the chairperson of P.G. Department who in turn shall pass it on to the chairperson of BoS.
- 20.14** Each adjudicator nominated to adjudicate the thesis shall send the report to the Registrar (Evaluation) within forty five days from the date of receipt of the thesis.
- 20.15** Each adjudicator's report on the thesis shall include,
- 20.15.1** A critical account of the work of the candidate as embodied in the thesis and an evaluation of the work in terms of its contribution to the advancement of knowledge.
- 20.15.2** Details of any question/s to be asked to the candidate or points to be clarified by the candidate during the open viva-voce examination.
- 20.15.3** A definite recommendation as to whether the Ph.D. Degree should be awarded or not.
- 20.15.4** The adjudicators shall also be required to answer the following specifically:
- 20.15.5** Whether the Ph.D. Degree can be awarded on the basis of the thesis as presented?
- 20.15.6** If the answer is no, whether the thesis can be resubmitted after revision/ corrections suggested?
- 20.15.7** Whether the thesis is fit for publication with or without revision?
- 20.16** If all the three reports are positive/favourable, the Registrar (Evaluation) shall inform the chairperson of the Board of Adjudicators to (a) prepare and send the consolidated report on the thesis, and (b) arrange for the open viva-voce examination inviting one of the two external adjudicators approved by the Vice-Chancellor.
- 20.17** If one of the examiners recommends (with valid reasons) for re-submission of the thesis after suitable modifications, the Registrar (Evaluation) shall communicate the same to the candidate concerned, through the Research Supervisor, for re-submitting the thesis after suitable modifications within six months from the date of communication.
- 20.18** No candidate shall, however, be permitted to resubmit the thesis more than once.
- 20.19** If one of the examiners makes a definite recommendation against the award of the Degree, the thesis shall be referred to another external examiner. If the report of such examiner is favourable, then the candidate shall be eligible to appear for the open viva-voce examination. Otherwise (i.e., even if this another external adjudicator recommends against the award of

Degree), the thesis shall be rejected. However, the reports of the adjudicators shall be made available to the candidate (without revealing the name and address of adjudicator/s who has/have given adverse report/s).

- 20.20** If both the external examiners make definite recommendations against the award of Ph.D. Degree, the thesis shall be rejected.

21 Viva-voce Examination

21.01. On the receipt of favourable/positive reports from all the three adjudicators, an open viva-voce examination shall be conducted on any working day by a Board (comprising of the following) constituted by the Registrar (Evaluation) based on the approval of the Vice-Chancellor:

21.02 The Research Supervisor: Chairperson. If the Research Supervisor is unable to attend the viva-voce examination, then the co-supervisor or one of the two external adjudicators shall be made the chairperson. However, a written communication from the Research Supervisor and a prior approval from the Vice-Chancellor shall be mandatory. When an external adjudicator is appointed as the chairperson, then one more external adjudicator who adjudicated the thesis shall be invited as the member if he / she is from India. Otherwise, another expert from out of the panel approved by BoS shall be invited as the member with the approval of the Vice-Chancellor.

One external Adjudicator (nominated by the Vice-Chancellor): Member. Under extraordinary circumstances where either of external adjudicators cannot be present for the viva-voce examination, the Vice-Chancellor shall be competent to appoint another external substitute expert from out of the panel approved by the Board of Studies.

21.03. Co-Research Supervisor, if any: Member.

21.04. The chairperson of Viva-voce Board with prior intimation and in consultation with the Chairperson of P. G. Department shall make arrangements to conduct the viva-voce in the concerned Department. Besides the above, the chairperson of the Viva-voce Board shall invite the chairperson of the P. G Department, Director of School and Dean of the Faculty, chairperson and members of Research Advisory Committee, and send circular to all P. G Departments (including his/her own Department) inviting interested Teachers, Research Scholars and Students to attend the viva-voce examination.

21.05. The Viva-voce examination shall primarily be designed to test the understanding of the candidate on the subject matter of the thesis including the methodology employed and the competence in the field of research. Any of the points pointed out by the adjudicators in their reports should be clarified during the Viva-voce examination, and the report of the adjudicators shall be made available to the Board for Viva-voce examination.

21.06. In the case of candidate who due to ill health or who is in another country and unable to be present physically, open viva-voce examination may be conducted through video-conference after the candidate pays the necessary additional fees prescribed by the university.

21.07. In extreme cases and unavoidable circumstances if the external adjudicator unable to attend the Ph.D Viva-voce examination physically, in such cases provision shall be made for Open Viva-voce through Online mode after prior permission from the concerned authorities of the university.

22. **Award of Ph.D. Degree:** After the successful completion of open viva-voce examination by the candidate, the chairperson, Board of Adjudicators, shall prepare and send the minutes of the viva-voce meeting [minutes shall be signed by the chairperson of Board of Adjudicators (*i.e.*, chairperson of Viva-voce Board) and external member] based on the candidate's performance in the viva-voce examination recommending to the university, on behalf of the Board, to award the Degree of Doctor of Philosophy to the candidate. List of other teachers, researchers, *etc.*, who attended the open Viva-voce examination shall also be enclosed (with their signature) to the minutes of Viva-voce meeting.
23. **Publication of Thesis:** After the award of Ph.D. Degree, the entire thesis or any part of the thesis may be published by the candidate with the written permission from the university giving due credit to the Research Supervisor. Two copies of the published work shall be submitted to the university library.
24. **Research Advisory Committee :** There shall be a subject-wise Research Advisory Committee constituted, for a period of two years, by the university for the purpose of smooth conduction of Ph.D. programme and the Committee shall comprise,
 - 24.01. Chairperson of Board of Studies: Chairperson. In the case of non-availability of the chairperson of Board of Studies for a meeting, the chairperson of P.G. Department shall preside over the meeting.
 - 24.02. Director of the School concerned: Member
 - 24.03. Chairperson, P.G. Department of the University: Member-convener
 - 24.04. Two experts (not below the rank of Professor) from other universities: Members. The experts shall be nominated by the Vice-Chancellor from a panel of six experts prepared and submitted by the chairperson of the P.G. Department in consultation with the Departmental Research Supervisors. The presence of at least one of the two external experts is mandatory to conduct the meeting of Research Advisory Committee.
 - 24.05. Further, the university may keep the remaining four external experts in the waiting list, and the chairman of BoS (PG) shall be authorized to invite any one or two of these experts if one or both external members nominated is/are unable to attend a meeting of Research Advisory Committee.
 - 24.06. **Research Supervisor:** only when the Member shall attend the meeting of the Research Advisory Committee, the issues of his/her candidate/s are taken up. And the presence of Research Supervisor in the meeting of Research Advisory Committee to take up the issue of his/her candidate/s for consideration is mandatory. Otherwise, the issue shall be deferred.
 - 24.07. The Research Advisory Committee shall meet at least twice a year.

25. Functions of Research Advisory Committee:

- 25.01. To consider the half-yearly progress reports of registered candidates forwarded by the Supervisor and chairperson of the P.G. Department.
- 25.02. To consider the applications from the candidates, if any, for extension of time for submission of thesis, and to make appropriate recommendations to the university.
- 25.03. To conduct the pre-submission colloquium and to accord permission for the submission of the thesis.
- 25.04. To consider the request of the candidate for the change of title of the thesis on the recommendation of the Supervisor and to recommend to the Board of Studies.
- 25.05. To carry out such other functions as the university may entrust from time to time in connection with the Ph.D. Programme.
- 25.06. In the cases like extension of time for submission of thesis (Section 25.03) and change of title of the thesis (Section 25.04), the chairman of the Research Advisory Committee may

obtain the approval of the members of the Research Advisory Committee by postal/mail circulation if the Committee is not expected to meet in the near future.

26. Procedure for Recognition of Research Supervisor

- 26.01.** Professors and Associate Professors in P.G. Departments of the University, working on regular basis who possess Ph.D. degree and have at least five research publications in peer-reviewed or refereed journals shall be recognized as Research Supervisors by the University. Assistant Professors, working on regular basis with Ph.D. degree and have published at least three research papers in peer-reviewed and refereed journals SCOPUS indexed or UGC Care List journals / or a Patent. Librarian / Director of Physical Education/ Deputy Librarian / Deputy Director of Physical Education/Assistant Librarian/ Assistant Director of Physical Education who are working on regular basis with Ph.D. degree and involved in teaching and have published at least five research papers in peer-reviewed and refereed journals, SCOPUS indexed or UGC Care list journals are eligible to be recognised as research supervisors.
- 26.02.** The entire process of recognition of the research supervisor shall be completed within a period of THREE months from the date of submission of application.
- 26.03.** Teachers, Librarians and Directors of Physical Education with Ph.D. Degree working in the affiliated/constituent P.G. colleges of the university (as defined in 2.18) which are recognized by the university as Research Centres and having permanent (teaching) experience of five years shall also be eligible for recognition as the Research Supervisors (in the discipline in which the P.G. College is offering the P. G programme) provided they have at least five publications (either as sole author or as first author) published in peer-reviewed and refereed journals, SCOPUS indexed or UGC Care List journals peer-reviewed and refereed journals.
- 26.04.** In the case of interdisciplinary subjects, a candidate may have two supervisors of which one is the Research Supervisor and another is Co-supervisor. However, either the Research Supervisor or Co-supervisor shall be from the P.G. Department of the University. An eligible teacher of any recognized university or institution who is already a recognized research supervisor/guide can be taken as a co-supervisor.
- 26.05.** Scientist D and above rank from the Central Government Research Organization with Ph.D. degree may also be recognised as a research supervisor/co supervisor provided who have published at least FIVE research papers published in peer-reviewed and refereed journals.
- 26.06.** However, in all the above cases (Sections 26.01 to 26.05), the Board of Studies shall satisfy that the person has a sustained record of independent research publications. In case of any conflict in respect to the quality of research publications, the case shall be referred to the University Research Advisory Board. The decision of the University Research Advisory Board is final.
- 26.07.** A Research Supervisor shall not opt to become co-supervisor for more than five candidates at a time.
- 26.08.** Faculty members with less than One year of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

27. Change of Research Supervisor

- 27.01.** Generally, change of Research Supervisor shall not be entertained and permitted.
- 27.02.** However, under extraordinary circumstances such as death or disability on health ground of the supervisor, the change of Research Supervisor shall be permitted by the university at the request of the candidate and on the recommendation of the chairperson of the P.G. Department.

- 27.03.** Besides, in the case of any conflict between the Research Supervisor and the Candidate, the chairperson of the P.G. Department, on the receipt of request letter either from the Candidate or from the Research Supervisor or both shall refer the matter to the Research Advisory Committee. The Research Advisory Committee shall examine the case and send its report/recommendation to the chairperson of the P.G. Department who in turn shall forward it to the Vice-Chancellor. The decision of the Vice-Chancellor shall be final in this regard.
- 28. Time Frame:** To ensure timely completion of work at different stages/levels in the university, the following time schedule shall be followed. However, in the case of any deviation in the schedule, the Vice-Chancellor shall be competent to readjust the time schedule.
- 28.01. Entrance Test:** Within a week from the last date to submit applications.
- 28.02.** Announcement of the result of entrance test, holding of internal viva-voce and allotment of research supervisor: Within two weeks for the date of the Entrance Test.
- 28.03. Notification of Provisional Registration:** Within two weeks from the date of allotment of research supervisors.
- 28.04. Course work:** Within two weeks after the provisional registration.
- 28.05. Examination for Course work:** Within two weeks from the completion of the course work of four-month duration.
- 28.06. Announcement of the result of Course Work Examination:** Within three weeks from the last day of the examination.
- 28.07.** Colloquium prior to confirmation of Registration (but after successful completion of course work examination): Within a month after the date of announcement of the results.
- 28.08. Approval of BoS:** Within three weeks from the date of colloquium.
- 28.09. Notification of confirmation of Registration:** Within two weeks from the date of approval by the Board of Studies.
- 28.10. Award of Ph.D. degree:** The complete process for the award of Ph.D. degree from the date of submission of thesis shall not exceed more than Six months.

29. Repeal and Savings

- 29.01.** Notwithstanding anything contained in these Regulations, the Provisions of any Order, Rules or Regulations in force shall be inapplicable to the extent of their inconsistency with these Regulations.
- 29.02.** The university shall issue such orders, instructions, etc., and prescribe such format, procedure, etc., as it may deem fit to implement these Regulations.
- 29.03.** The Vice Chancellor shall be competent to bring amendments on receipt of any notifications which are issued by UGC or State Government from time to time.
- 29.04.** If any difficulty arises in the implementation of these Regulations, the Vice-Chancellor shall be competent to issue necessary clarifications.

Registrar

The fourth paper mainly deals with Research & Publication Ethics

The UGC has recently recommended a common paper for course work for all the subjects irrespective of any faculty. The research ethics involved in research are common to all the subjects and hence all the aspects pertaining to plagiarism, copyright violations, conflict of interest among authors, patenting etc are dealt in this course. This course certainly keeps the researcher in the right direction in terms of ethical values.



ज्ञान-विज्ञान विमुक्तये

प्रो. रजनीश जैन
सचिव

Prof. Rajnish Jain
Secretary



सत्यमेव जयते

विश्वविद्यालय अनुदान आयोग
University Grants Commission

(मानव संसाधन विकास मंत्रालय, भारत सरकार)
(Ministry of Human Resource Development, Govt. of India)

बहादुरशाह जफर मार्ग, नई दिल्ली-110002
Bahadur Shah Zafar Marg, New Delhi-110002

Ph : 011-23236288/23239337

Fax : 011-2323 8858

E-mail : secy.ugc@nic.in

D.O.No.F.1-1/2018(Journal/CARE)

December, 2019

Respected Sir/Madam,

University Grants Commission in its 543rd meeting held on 9th August, 2019 approved two Credit Courses for awareness about publication ethics and publication misconducts entitled "**Research and Publication Ethics (RPE)**" to be made compulsory for all Ph.D. students for pre-registration course work (**attached as Annexure**).

In view of the above, you are requested to ensure that the above two Credit courses may be made compulsory for all Ph.D. students for pre-registration course work undertaken in your University from the forthcoming academic session.

With regards,

Yours sincerely,

(Rajnish Jain)

TO THE VICE-CHANCELLORS OF ALL UNIVERSITIES

ANNEXURE

Course Title:

- **Research and Publication Ethics (RPE)**-Course for awareness about the publication ethics and publication misconducts.

Course Level:

- 2 Credit course (30 hrs.)

Eligibility:

- M.Phil., Ph.D. students and interested faculty members (It will be made available to post graduate students at later date)

Fees:

- As per University Rules

Faculty:

- Interdisciplinary Studies

Qualifications of faculty members of the course:

- Ph.D. in relevant subject areas having more than 10 years' of teaching experience

About the course

Course Code: CPE- RPE

Overview

- This course has total 6 units focusing on basics of philosophy of science and ethics, research integrity, publication ethics. Hands-on-sessions are designed to identify research misconduct and predatory publications. Indexing and citation databases, open access publications, research metrics (citations, h-index, Impact Factor, etc.) and plagiarism tools will be introduced in this course.

Pedagogy:

- Class room teaching, guest lectures, group discussions, and practical sessions.

Evaluation

- Continuous assessment will be done through tutorials, assignments, quizzes, and group discussions. Weightage will be given for active participation. Final written examination will be conducted at the end of the course.

Course structure

- The course comprises of six modules listed in table below. Each module has 4-5 units.

Modules	Unit title	Teaching hours
Theory		
RPE 01	Philosophy and Ethics	4
RPE 02	Scientific Conduct	4
RPE 03	Publication Ethics	7
Practice		
RPE 04	Open Access Publishing	4
RPE 05	Publication Misconduct	4
RPE 06	Databases and Research Metrics	7
	Total	30

Syllabus in detail

THEORY

- RPE 01: PHILOSOPHY AND ETHICS (3 hrs.)**

- Introduction to philosophy: definition, nature and scope, concept, branches
- Ethics: definition, moral philosophy, nature of moral judgements and reactions

- RPE 02: SCIENTIFIC CONDUCT (5hrs.)**

- Ethics with respect to science and research
- Intellectual honesty and research integrity
- Scientific misconducts: Falsification, Fabrication, and Plagiarism (FFP)
- Redundant publications: duplicate and overlapping publications, salami slicing
- Selective reporting and misrepresentation of data

- RPE 03: PUBLICATION ETHICS (7 hrs.)**

- Publication ethics: definition, introduction and importance
- Best practices / standards setting initiatives and guidelines: COPE, WAME, etc.
- Conflicts of interest
- Publication misconduct: definition, concept, problems that lead to unethical behavior and vice versa, types
- Violation of publication ethics, authorship and contributorship
- Identification of publication misconduct, complaints and appeals
- Predatory publishers and journals

PRACTICE

- RPE 04: OPEN ACCESS PUBLISHING(4 hrs.)**

1. Open access publications and initiatives
 2. SHERPA/RoMEO online resource to check publisher copyright & self-archiving policies
 3. Software tool to identify predatory publications developed by SPPU
 4. Journal finder / journal suggestion tools viz. JANE, Elsevier Journal Finder, Springer Journal Suggester, etc.
- **RPE 05: PUBLICATION MISCONDUCT (4hrs.)**
 - A. Group Discussions (2 hrs.)**
 1. Subject specific ethical issues, FFP, authorship
 2. Conflicts of interest
 3. Complaints and appeals: examples and fraud from India and abroad
 - B. Software tools (2 hrs.)**

Use of plagiarism software like Turnitin, Urkund and other open source software tools
 - **RPE 06: DATABASES AND RESEARCH METRICS (7hrs.)**
 - A. Databases (4 hrs.)**
 1. Indexing databases
 2. Citation databases: Web of Science, Scopus, etc.
 - B. Research Metrics (3 hrs.)**
 1. Impact Factor of journal as per Journal Citation Report, SNIP, SJR, IPP, Cite Score
 2. Metrics: h-index, g index, i10 index, altmetrics

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Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 06-06-2023

To,

IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,

**Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2022-2023
Batches of PG Students and Research Scholars**

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistently creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 275 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,



LIBRARIAN
KUVEMPU UNIVERSITY
Jnana Sahyadri
Shankaraghatta-577 451

KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577451
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/2022-23 / 4804

Date:-07-02-2023.

NOTIFICATION

Sub:-Re-Constitution of Research Advisory Board.

Ref:- Approval of the Hon'ble Vice Chancellor Dated:07-02-2023

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Advisory Board has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.


The Research Advisory Board shall have the following organizational structure for a period of three years with effect from 03-10-2018. The tenure of the committee was expired on 03-10-2021. The same committee has been continued for next three years with effect from 01-02-2023 or until further order.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09. Deputy Registrar Academic Section	Member
10. Deputy Registrar, Development Section	Convener

The Chairman and Convener of the Committee are requested to convene a regular meeting to review the Research project status of the University and to do work on the progress of the projects and Research related work for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairman of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and they are directed to consult with the Chairman of the Committee for any guidance in future.

Draft Approved by
the Registrar


Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta 577 451
Srinagara (Dist)

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To :-

1. The Chairman and Members of the above Committee,
2. The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.

Copy to:-

1. The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri ,Shankaraghatta.
2. The Finance Officer, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
3. P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
4. Office copy.

KUVEMPU UNIVERSITY



Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577451
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/2022-23 / 4806 .

Date: 07-02-2023.

NOTIFICATION

Sub:-Re-Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:07-02-2023

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell Shall functions with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017. The tenure of the committee was expired on 20-02-2020. The same committee has been continued for next three years with effect from 01-02-2023 or until further order.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

---2

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairman of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filling any patent in future.

Draft Approved by
the Registrar


Deputy Registrar
Deputy Registrar (Development)
Kuvempu University
Jnana Sahayadri
Shankaraghatta-577 451
Shimoga (Dist)

To:

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Director, P.M.E Board, Kuvempu University, Shankaraghatta,

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri ,Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
- 3) The Librarian, Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
- 5) Office copy.

KUVEMPU UNIVERSITY

Vishwavidyanilaya Karyalaya, Jnana Sahyadri, Shankaraghatta-577 451,
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/4805/2022-23

Date:07-02-2023

NOTIFICATION

Sub: Re-Constitution of Research Review Committee reg.

Ref: Approval of the Hon'ble Vice Chancellor Dated: 07-02-2023.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2020.The tenure of the committee was expired on 21-02-2023.The same committee has been continued for next three years with effect from 22-02-2023 or until further order.

01	Vice Chancellor	Chairman
02	Registrar	Member
03	Dean, Faculty of Arts	Member
04	Dean, Faculty of Science & Technology	Member
05	Dean Faculty of Commerce	Member
06	Dean, Faculty of Education	Member
07	Director P.M.E.B	Member
08	Director IQAC cell	Member
09	Deputy Registrar, Development Section	Convener

The Chairman and Convener of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/Ranking /Recognition of the University in both National and International level.

The Chairman of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative project of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

Draft Approved by
the Registrar


Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta 577 451
Shivmoga (Dist)

To,

1. The Chairman and Members of the above Committee,
2. The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

1. The Registrar (Evaluation), Kuvempu University, Jnana Sahyadri, Shankaraghatta.
2. The Finance Officer, Kuvempu University, Jnana Sahyadri Shankaraghatta.
3. P.A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahyadri, Shankaraghatta.
4. Office copy.

KUVEMPU UNIVERSITY

Vishwavidyanilaya Karyalaya, Jnana Sahyadri, Shankaraghatta-577 451,
Accredited by NAAC with "A" Grade & Ranked 73rd by NIRF

No: KU/DV-4/192/2020-21-211-

Date:25-08-2020

NOTIFICATION

Sub: Re-Constitution Research Review Committee reg.
Ref: Approval of the Hon'ble Vice Chancellor Dated: 24-08-2020

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017. The tenure of the committee was expired on 20-02-2020. The same committee has continued for next three years with effect from 21-02-2020.

01	Vice Chancellor	Chairman
02	Registrar	Member
03	Dean, Faculty of Arts	Member
04	Dean, Faculty of Science & Technology	Member
05	Dean Faculty of Commerce	Member
06	Dean, Faculty of Education	Member
07	Director P.M.E.B	Member
08	Director IQAC cell	Member
09	Deputy Registrar, Development Section	Convener

The Chairman and Convener of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/Ranking/Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative project of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

To,

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation), Kuvempu University, Jnana Sahyadri, Shankaraghatta
- 2) The Finance Officer, Kuvempu University, Jnana Sahyadri Shankaraghatta
- 3) P.A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahyadri, Shankaraghatta.
- 4) Office copy.

[Handwritten Signature]
Registrar
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 451
25/8/2020



KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1314

20th Feb. 2017

NOTIFICATION

Sub: Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell, shall function with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017..

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairmen of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filing any patent in future.



REGISTRAR
Registrar

Kuvempu University
Jnana Sahayadri

Shankaraghatta-577 451
Shimoga (Dist.) Karnataka (State)

To:

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta,

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) The Librarian , Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 5) Office copy.



KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577 451

No: KU/DV-4/2016-17 /1312

20th Feb. 2017

NOTIFICATION

Sub: Constitution Research Review Committee reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09..Deputy Registrar, Development Section	Convenor

The Chairman and Convenor of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

REGISTRAR
Registrar

Kuvempu University

Jnana Sahyadri

Shankaraghatta

Shimoga (Dist.) Karnataka (State)

To

1) The Chairman and Members of the above Committee,

2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 3) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 4) Office copy.

KUVEMPU UNIVERSITY



Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1312 | 670

19-11-2018.

NOTIFICATION

Sub: Constitution Research Advisory Board .

Ref: -Approval of the Hon'ble Vice Chancellor Dated:15-11-2018.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Advisory Board has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Advisory Board shall have the following organizational structure for a period of three years with effect from 03-10-2018.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09. Deputy Registrar Academic Section	Member
10. Deputy Registrar, Development Section	Convener

The Chairman and Convener of the Committee are requested to convene a regular meeting to review the Research project status of the University and to do work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and they are directed to consult with the Chairman of the Committee for any guidance in future.

Draft approved by the Registrar

To

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahyadri, Shankaraghatta, Shimoga (Dist.)


19/11/18
DEPUTY REGISTRAR
Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta, Shimoga (Dist.)
19/11/18



KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1312

20th Feb. 2017

NOTIFICATION

Sub: Constitution Research Review Committee reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09..Deputy Registrar, Development Section	Convener

The Chairman and Convener of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and are directed to consult with the Chairman of the Committee for any guidance in future.



REGISTRAR
Registrar

Kuvempu University

Jnana Sahyadri

Shankaraghatta, 577 451

Shimoga (Dist.) Karnataka (State)

To

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

KUVEMPU



UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577 451

No: KU/DV-4/2016-17 /1314

20th Feb. 2017

NOTIFICATION

Sub: Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell, shall function with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017..

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairmen of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filing any patent in future.


REGISTRAR
Registrar
Kuvempu University
Jnana Sahayadri
Shankaraghatta


To:

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) The Librarian , Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 5) Office copy.


KUVEMPU UNIVERSITY

Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

No: KU/LIB/ /2024-25

DATE: 15-11-2024

To,

The Director
IQAC
Kuvempu University
Shankaraghatta

Sir,

Subject: Submission of requested library details for NAAC SSR Report

Ref: KU:IQAC:186:2024-25 dated 14-11-2024

With reference to the above subject and referenced letter, kindly find attached the library details requested by your cell for the NAAC SSR report preparation. As informed by your cell, we have also shared the softcopy of the details through mail.

Thanking you.

Yours faithfully,



Librarian (I/c)
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 461

Enclosures :

- 1) Annexure 1 : Reply to your queries
- 2) University undataking form submitted to INFLIBNET for Shodh-shuddhi program.
- 3) Kuvempu university Drillbit Dashboard.

Annexure

Library (2019-20 to 2023-24)

- Bills of purchase of licensed plagiarism check software in the name of the HEI (If the software is not purchased kindly issue the certified details from where it is procured)
 - Library/university uses Drillbit anti-plagiarism software provided by the UGC INFLIBNET under Shodh-Shuddhi project. The recent undertaking form submitted to INFLIBNET along with the screenshot of Drillbit dashboard of our university nodal officer is provided for your perusal.
- Scanned copies of the book borrowing ledger and daily visitor register
 - The circulation desk is automated and therefore the circulation details have been provided in excel sheet for your perusal. The random pages of scanned gate register is being provided for your cell.

4.2.2: Percentage expenditure for purchase of books/e-books and subscription to journals/e-journals during the last five years (INR in Lakhs)

- Audited income and expenditure statement of the institution to be signed by CA and counter signed by the competent authority (relevant expenditure claimed for purchase of books/e-books and subscription to journals/e-journals should be clearly highlighted)
 - Audit report will be available with the finance section.



Librarian (I/c)

Kuvempu University

Jnana Sahyadri

Shankaraghatta-577 461

**Information and Library Network (INFLIBNET) Centre,
Gandhinagar**

*Inter-University Centre of University Grants Commission, New Delhi
(Ministry of Education, Govt. of India)*

05.06.2024

**Undertaking for the Use of PDS (DrillBit-Extreme Software) provided by
INFLIBNET Centre under MoE**

This is to certify that Kuvempu University uses the **DrillBit-Extreme Software** provided by the **INFLIBNET Centre's ShodhShuddhi-PDS Project** under **MoE** exclusively for checking plagiarism in **PhD theses** and only in exceptional cases, article related to same thesis.

The software will not be used for other documents such as undergraduate/postgraduate assignments, project work, question papers, answer sheets, and study/learning materials. Repeated/Multiple submissions of the same documents will be avoided.

To date, Kuvempu University has uploaded 1388 awarded PhD theses to **Shodhganga** and checked 1718 documents for plagiarism through the **ShodhShuddhi-PDS Project** under **MoE**. It is assured that approximately 500 theses and approximately 100 documents will be checked this current year.

Therefore, we kindly request continuous access to the PDS service for checking plagiarism solely for PhD theses and only in exceptional cases, article related to same thesis.

Thank you for your consideration.

Sincerely,

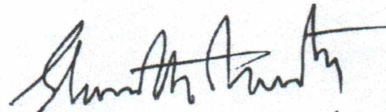


Dr. R.H. Walmiki

University Coordinator ShodhShuddhi

Kuvempu University

Librarian (I/c)
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 461



Vice Chancellor

Kuvempu University

Vice-Chancellor

Kuvempu University

Shankaraghatta-577 451

Shimoga Dist. Karnataka, India

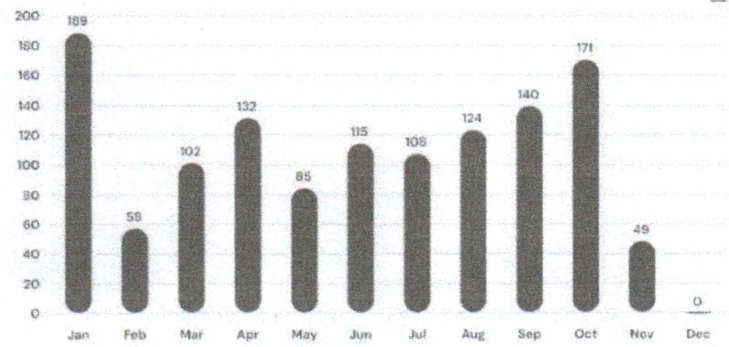
- Dashboard
- Users
- Reports
- Repository
- Integrations
- Settings
- Support

Users
5 / 200

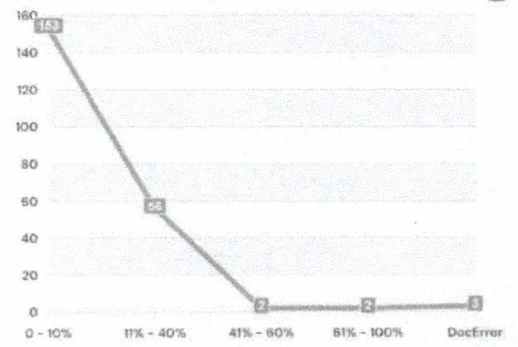
Submissions
1840 / 1883

Submissions Overview

2024



Similarity Ranges



Account Validity

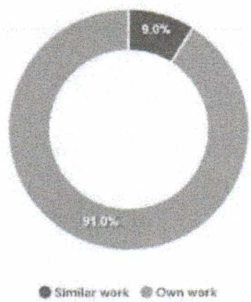
761 (Total days)



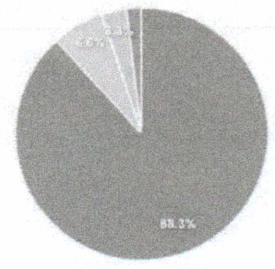
[Renew your account](#)

Trend Analysis

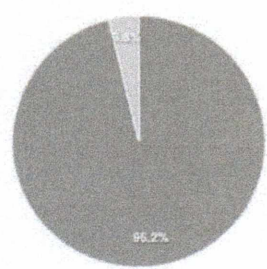
1840 (Submissions)



Document Types



Departments



Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 06-06-2023

To,

IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,

**Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2022-2023
Batches of PG Students and Research Scholars**

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistently creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 275 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,



LIBRARIAN
KUVEMPU UNIVERSITY
Jnana Sahyadri
Shankaragatta-577 451

KUVEMPU  **UNIVERSITY**

Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 08-06-2022

To,

IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,

**Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2021-2022
Batches of PG Students and Research Scholars**

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistently creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 251 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,



LIBRARIAN
KUVEMPU UNIVERSITY
Jnana Sahyadri
Shankaragatta-577 451

Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 24-06-2021

To,

IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,


**Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2020-2021
Batches of PG Students and Research Scholars**

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistently creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 189 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,


LIBRARIAN
KUVEMPU UNIVERSITY
Jnana Sahyadri
Shankaragatta-577 451

Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 28-06-19

To,
IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,

Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2019-2020 Batches of PG Students and Research Scholars

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistency creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 239 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,


Librarian (i/c.)
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 451
Shivamogga Dist. Karnataka


KUVEMPU UNIVERSITY

Librarian
University Library
Jnana Sahyadri
Shankarghatta – 577451
Shivamogga (Dist.), Karnataka
Phone: 08282-256309

DATE: 22-06-18

To,
IQAC Coordinator
Kuvempu University
Shankaraghatta

Sir,

**Subject: Steps Taken to Create Awareness Related to Plagiarism Issues for 2018-2019 Batches of
PG Students and Research Scholars**

As you are aware, the University Grants Commission (UGC) has been taking steps to curb plagiarism and uphold academic integrity through various guidelines and regulations. Kuvempu University library has been consistency creating awareness on the consequences of plagiarism through orientation and one-on discussion with research guides and scholars. During the orientation of PG students, the students are introduced to the concept of plagiarism, steps to avoid, and tools available at the library to check plagiarism. The research scholars and students are introduced to citation management tools to help in proper citation and references in their seminar, project report, and thesis to avoid plagiarism.

Kuvempu University Library has access to plagiarism detection software through the INFLIBNET under the ShodhShuddhi program. The plagiarism detection software, Urkund, is been used for checking the thesis, project reports, articles, project proposals etc. A total of 231 documents were checked for generating plagiarism report using Urkund software.

Thanking you.

Yours Sincerely,

Librarian (I/c.)
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 451.
Shimoga Dist. Karnataka

KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577451
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/2022-23 / 4804

Date:-07-02-2023.

NOTIFICATION

Sub:-Re-Constitution of Research Advisory Board.

Ref:- Approval of the Hon'ble Vice Chancellor Dated:07-02-2023

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Advisory Board has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.


The Research Advisory Board shall have the following organizational structure for a period of three years with effect from 03-10-2018. The tenure of the committee was expired on 03-10-2021. The same committee has been continued for next three years with effect from 01-02-2023 or until further order.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09. Deputy Registrar Academic Section	Member
10. Deputy Registrar, Development Section	Convener

The Chairman and Convener of the Committee are requested to convene a regular meeting to review the Research project status of the University and to do work on the progress of the projects and Research related work for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairman of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and they are directed to consult with the Chairman of the Committee for any guidance in future.

Draft Approved by
the Registrar


Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta 577 451
Srinagara (Dist)

---2

To :-

1. The Chairman and Members of the above Committee,
2. The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.

Copy to:-

1. The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri ,Shankaraghatta.
2. The Finance Officer, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
3. P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
4. Office copy.

KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577451
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/2022-23 / 4806 .

Date: 07-02-2023.

NOTIFICATION

Sub:-Re-Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:07-02-2023

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell Shall functions with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017. The tenure of the committee was expired on 20-02-2020. The same committee has been continued for next three years with effect from 01-02-2023 or until further order.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

---2

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairman of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filling any patent in future.

Draft Approved by
the Registrar


Deputy Registrar
Deputy Registrar (Development)
Kuvempu University
Jnana Sahayadri
Shankaraghatta-577 451
Shimoga (Dist)

To:

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Director, P.M.E Board, Kuvempu University, Shankaraghatta,

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri ,Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
- 3) The Librarian, Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri, Shankaraghatta.
- 5) Office copy.

KUVEMPU UNIVERSITY

Vishwavidyanilaya Karyalaya, Jnana Sahyadri, Shankaraghatta-577 451,
Accredited by NAAC with "A" Grade & Ranked 86th by NIRF

No: KU/DV-4/4805/2022-23

Date:07-02-2023

NOTIFICATION

Sub: Re-Constitution of Research Review Committee reg.

Ref: Approval of the Hon'ble Vice Chancellor Dated: 07-02-2023.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2020. The tenure of the committee was expired on 21-02-2023. The same committee has been continued for next three years with effect from 22-02-2023 or until further order.

01	Vice Chancellor	Chairman
02	Registrar	Member
03	Dean, Faculty of Arts	Member
04	Dean, Faculty of Science & Technology	Member
05	Dean Faculty of Commerce	Member
06	Dean, Faculty of Education	Member
07	Director P.M.E.B	Member
08	Director IQAC cell	Member
09	Deputy Registrar, Development Section	Convener

The Chairman and Convener of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/Ranking /Recognition of the University in both National and International level.

The Chairman of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative project of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

Draft Approved by
the Registrar


Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta 577 451
Shivmoga (Dist)

To,

1. The Chairman and Members of the above Committee,
2. The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

1. The Registrar (Evaluation), Kuvempu University, Jnana Sahyadri, Shankaraghatta.
2. The Finance Officer, Kuvempu University, Jnana Sahyadri Shankaraghatta.
3. P.A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahyadri, Shankaraghatta.
4. Office copy.

KUVEMPU UNIVERSITY

Vishwavidyanilaya Karyalaya, Jnana Sahyadri, Shankaraghatta-577 451,
Accredited by NAAC with "A" Grade & Ranked 73rd by NIRF

No: KU/DV-4/192/2020-21-211-

Date:25-08-2020

NOTIFICATION

Sub: Re-Constitution Research Review Committee reg.
Ref: Approval of the Hon'ble Vice Chancellor Dated: 24-08-2020

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017. The tenure of the committee was expired on 20-02-2020. The same committee has continued for next three years with effect from 21-02-2020.

01	Vice Chancellor	Chairman
02	Registrar	Member
03	Dean, Faculty of Arts	Member
04	Dean, Faculty of Science & Technology	Member
05	Dean Faculty of Commerce	Member
06	Dean, Faculty of Education	Member
07	Director P.M.E.B	Member
08	Director IQAC cell	Member
09	Deputy Registrar, Development Section	Convener

The Chairman and Convener of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/Ranking/Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative project of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

To,

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation), Kuvempu University, Jnana Sahyadri, Shankaraghatta
- 2) The Finance Officer, Kuvempu University, Jnana Sahyadri Shankaraghatta
- 3) P.A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahyadri, Shankaraghatta.
- 4) Office copy.

[Handwritten Signature]
Registrar
Kuvempu University
Jnana Sahyadri
Shankaraghatta-577 451
25/8/2020



KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1314

20th Feb. 2017

NOTIFICATION

Sub: Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell, shall function with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017..

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairmen of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filing any patent in future.



REGISTRAR
Registrar

Kuvempu University
Jnana Sahayadri

Shankaraghatta-577 451
Shimoga (Dist.) Karnataka (State)

To:

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta,

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) The Librarian , Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 5) Office copy.

KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577 451

No: KU/DV-4/2016-17 /1312

20th Feb. 2017

NOTIFICATION

Sub: Constitution Research Review Committee reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09..Deputy Registrar, Development Section	Convenor

The Chairman and Convenor of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and are directed to consult with the Chairman of the Committee for any guidance in future.



REGISTRAR
Registrar

Kuvempu University
Jnana Sahyadri

Shankaraghatta - 577 451
Shimoga (Dist.) Karnataka (State)

To

- 1) The Chairman and Members of the above Committee,
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 3) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri
Shankaraghatta.
- 4) Office copy.

KUVEMPU UNIVERSITY



Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1312 | 670

19-11-2018.

NOTIFICATION

Sub: Constitution Research Advisory Board .

Ref: -Approval of the Hon'ble Vice Chancellor Dated:15-11-2018.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Advisory Board has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Advisory Board shall have the following organizational structure for a period of three years with effect from 03-10-2018.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09. Deputy Registrar Academic Section	Member
10. Deputy Registrar, Development Section	Convener

The Chairman and Convener of the Committee are requested to convene a regular meeting to review the Research project status of the University and to do work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and they are directed to consult with the Chairman of the Committee for any guidance in future.

Draft approved by the Registrar

To

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta, Shimoga (Dist.)


19/11/18
DEPUTY REGISTRAR
Deputy Registrar (Development)
Kuvempu University
Jnana Sahyadri
Shankaraghatta, Shimoga (Dist.)
19/11/18



KUVEMPU UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta - 577 451

No: KU/DV-4/2016-17 /1312

20th Feb. 2017

NOTIFICATION

Sub: Constitution Research Review Committee reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor, a Research Review Committee has been constituted as below. It shall be responsible for Review and Management of Research Projects sanctioned by U.G.C and other funding agencies.

The Research Review Committee shall have the following organizational structure for a period of three years with effect from 21-02-2017.

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Dean, Faculty of Arts	Member
04. Dean, Faculty of Science & Technology	Member
05. Dean, Faculty of Commerce	Member
06. Dean, Faculty of Education	Member
07. Director P.M.E.B	Member
08. Director IQAC Cell	Member
09..Deputy Registrar, Development Section	Convenor

The Chairman and Convenor of the committee are requested to convene a regular meeting to review the Research project status of the University and to work on the progress of the projects, for Accreditation/ Ranking / Recognition of the University in both National and International level.

The Chairmen of the departments are requested to advise the faculty members to strictly adhere to the Patent Policy, Research and MoU Collaborative projects of the University and are directed to consult with the Chairman of the Committee for any guidance in future.

REGISTRAR
Registrar

Kuvempu University

Jnana Sahyadri

Shankaraghatta, 577 451

Shimoga (Dist.) Karnataka (State)

To

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Shankaraghatta.

KUVEMPU



UNIVERSITY

Vishwavidyalaya Karyalaya, Jnana Sahyadri, Shankaraghatta – 577 451

No: KU/DV-4/2016-17 /1314

20th Feb. 2017

NOTIFICATION

Sub: Constitution of Intellectual Property Cell reg.

Ref: - Approval of the Hon'ble Vice Chancellor Dated:20-02-17.

Pursuant to the approval of the Hon'ble Vice Chancellor and pending ratification of the University Syndicate, the University has constituted an Intellectual Property Cell. It shall be responsible for the management of Intellectual property, patent and MoU collaborative project issues.

In this connection, Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta, has been designated as a Director of Intellectual Property Cell, until further orders.

The Intellectual Property Cell, shall function with the following objectives.

1. To enhance the activities related to Intellectual Property Cell of the University, through Post Graduate Departments.
 - IP Capacity Building, Awareness and Training
 - IP Information services
 - IP Counseling and Advisory Services IPR production Facilitation
2. To facilitate optimal utilization of intellectual property of the University for societal welfare and benefit.
3. To foster increased collaboration with industry and research.
4. To upload values and standards of intellectual property of the University.

The Patent review committee shall have the following organizational structure for a period of three year with effect from 21-02-2017..

01. Vice Chancellor	Chairman
02. Registrar	Member
03. Finance Officer	Member
04. Director IQAC Cell	Member
05. Concerned Deans of Arts/Science/ Commerce/Education Faculty	Member
06. Concerned Project Co-coordinator / Principal Investigator	Members
07. The Director Intellectual Property cell	Member Secretary

The Member secretary is requested to convene regular meetings to review the patent status of the University and to work on commercialization of the patents filed under Kuvempu University which needs an immediate attention for accreditation/ Ranking / recognition of the University in both National and International level.

The Chairmen of the Post Graduate departments are requested to advise the faculty members to strictly adhere to the patent policy, research and MoU Collaborative projects of the University and are directed to consult with the Director I P cell before filing any patent in future.


REGISTRAR
Registrar
Kuvempu University
Jnana Sahayadri
Shankaraghatta
Kuvempu University
Jnana Sahayadri
Shankaraghatta

To:

- 1) The Chairman and Members of the above Committee.
- 2) The Chairman of all the P.G Departments, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) Prof. Syed Ashfaq Ahmed, Director, P.M.E Board, Kuvempu University, Shankaraghatta.

Copy to:

- 1) The Registrar (Evaluation) , Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 2) The Finance Officer, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 3) The Librarian , Kuvempu University, Jnana Sahayadri Shankaraghatta
- 4) P. A to Vice Chancellor/Registrar, Kuvempu University, Jnana Sahayadri Shankaraghatta.
- 5) Office copy.



ವಿಶ್ವವಿದ್ಯಾಲಯದ ಕುಲಾಧಿಪತಿ, ಸಮಕುಲಾಧಿಪತಿ, ಕುಲಪತಿ,
We, the Chancellor, the Pro-Chancellor, the Vice-Chancellor,
ವಿದ್ಯಾವಿಷಯಕ ಪರಿಷತ್ತು ಮತ್ತು ಸಿಂಡಿಕೇಟ್ ಸದಸ್ಯರಾದ ನಾವು
the members of the Academic Council and the Syndicate

Certify that
HEMA G P

ಇವರು ಯಥಾಯೋಗ್ಯವಾಗಿ ಈಗಾಗಲೇ ಪ್ರವೇಶ ಪಡೆದಿರುವರೆಂದು ದೃಢೀಕರಿಸುತ್ತ,
has been duly admitted to the

ಃ ಓ Diploma In Yoga Studies

ಅಗತ್ಯವಾದ ಅರ್ಹತೆಗಳನ್ನುಳ್ಳವರೆಂದು ಪ್ರಮಾಣೀಕೃತವಾಗಿರುವುದರಿಂದ
in recognition of the fulfilment of requirements

೩೩ನೇ ಘಟಿಕೋತ್ಸವದಲ್ಲಿ ಇವರನ್ನು ಅಂಗೀಕರಿಸಲಾಗಿದೆ. ವಿವರಗಳು ಹೀಗಿವೆ:
as said, at the 33rd Convocation as indicated below:

ನೋಂದಣಿ ಸಂಖ್ಯೆ / Reg. No. : PDY21311

ದರ್ಜೆ / ಗ್ರೇಡ್ Class / Grade : First Class With Distinction

ಪರೀಕ್ಷೆಯ ವರ್ಷ / Year of Examination : October 2022

ಘಟಿಕೋತ್ಸವ ದಿನಾಂಕ / Date of Convocation : 22nd July 2023

ವಿಶ್ವವಿದ್ಯಾಲಯದ ಮೊಹರಿನೊಂದಿಗೆ ನೀಡಲಾಗಿದೆ.

Given under the seal of the University.

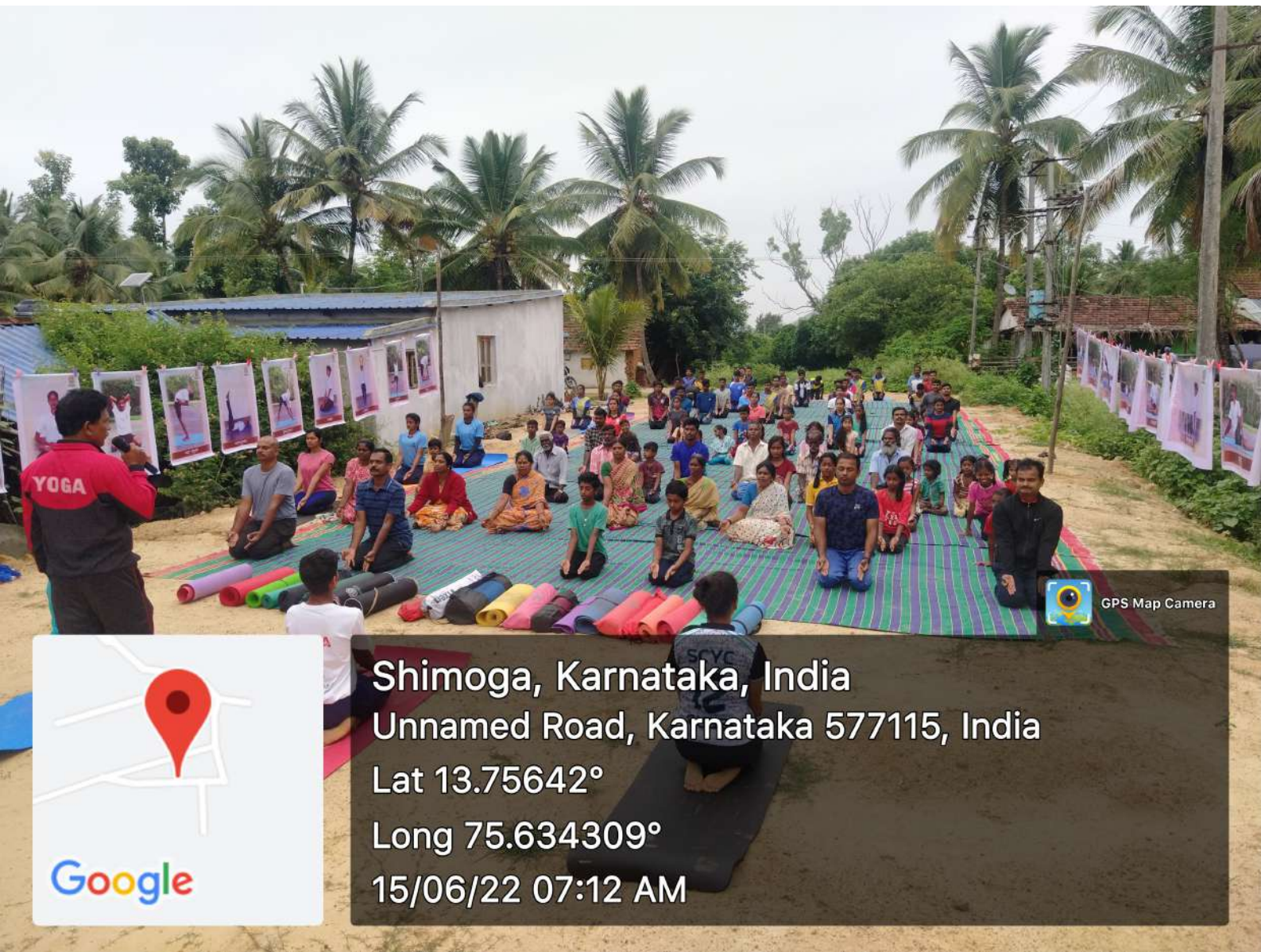


Jnana Sahyadri, Shankaraghatta-577451
Shivamogga District,
Karnataka, India

Date of Issue : 22/07/2023

PG52

[Signature]
ಕುಲಪತಿ
Vice-Chancellor



GPS Map Camera



Shimoga, Karnataka, India
Unnamed Road, Karnataka 577115, India
Lat 13.75642°
Long 75.634309°
15/06/22 07:12 AM



Karakuchi, Karnataka, India
PMQW+F8J, Karkuchi Rd, Karakuchi, Karnataka 577144, India
Lat 13.738609°
Long 75.696123°
19/06/22 07:22 AM



GPS Map Camera



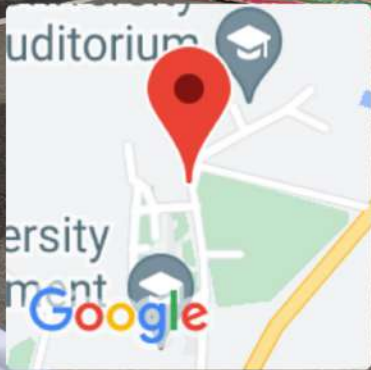
Thavaraghatta, Karnataka, India

PJ9M+9WH, Singanamane, Thavaraghatta, Karnataka 577115, India

Lat 13.718214°

Long 75.634902°

20/06/22 07:01 AM



Shankaragata, Karnataka, India
Rd leading to Kuvempu University, Shankaragata, Karnataka
577115, India
Lat 13.735646°
Long 75.632564°
21/06/22 07:24 AM



 **GPS Map Camera**



Shankaragata, Karnataka, India

University bus stop, SH 57, Shankaragata, Malligenahalli, Karnataka 577115, India

Lat 13.732116°

Long 75.632074°

07/08/23 08:08 AM GMT +05:30





 **GPS Map Camera**

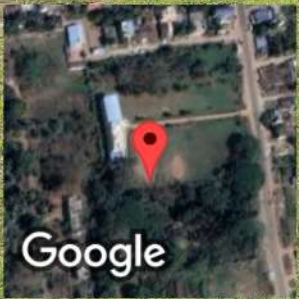
Thavaraghata, Karnataka, India

PJCM+X79, Shanthi Nagar, Thavaraghata, Karnataka 577115, India

Lat 13.722166°


Long 75.633575°

08/09/23 03:59 PM GMT +05:30



Google




 **GPS Map Camera**

Shankaragata, Karnataka, India
Rd leading to Kuvempu University, Shankaragata, Karnataka 577115, India
Lat 13.735946°
Long 75.631584°
11/03/24 02:55 PM GMT +05:30






 **GPS Map Camera**



Malligenahalli, Karnataka, India
PJMJ+GX, Malligenahalli, Karnataka 577115, India
Lat 13.733632°
Long 75.631901°
24/03/24 07:03 AM GMT +05:30



 **GPS Map Camera**



Malligenahalli, Karnataka, India
PJMJ+GX, Malligenahalli, Karnataka 577115, India
Lat 13.733632°
Long 75.631901°
24/03/24 07:03 AM GMT +05:30

